Safety Assessment of Keratin and Keratin-Derived Ingredients as Used in Cosmetics

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All interested persons are provided 60 days from the above release date to comment on this safety assessment and to identify additional published data that should be included or provide unpublished data which can be made public and included. Information may be submitted without identifying the source or the trade name of the cosmetic product containing the ingredient. All unpublished data submitted to CIR will be discussed in open meetings, will be available at the CIR office for review by any interested party and may be cited in a peer-reviewed scientific journal. Please submit data, comments, or requests to the CIR Director, Dr. Lillian Gill.

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ABSTRACT

The Cosmetic Ingredient Review Expert Panel (the Panel) reviewed the safety of 8 keratin-derived ingredients, which function mainly as skin and hair conditioning agents in personal care products. The Panel reviewed relevant data provided in this safety assessment, and concluded that the 8 keratin-derived ingredients are safe in the present practices of use and concentration described in this safety assessment.

INTRODUCTION

The keratin-derived ingredients detailed in this report function mainly as skin and hair conditioning agents in personal care products. This report assesses the safety of the following 8 keratin-derived ingredients:

Hydrolyzed Keratin Keratin

Hydrolyzed Hair KeratinOxidized KeratinHydrolyzed Oxidized KeratinSoluble KeratinHydrolyzed Sulfonated KeratinSulfonated Keratin

This is the first Cosmetic Ingredient Review (CIR) report on ingredients derived from the keratin family of proteins. However, the safety of several hydrolyzed proteins as used in cosmetics has previously been reviewed by the Panel. The Panel concluded that Hydrolyzed Collagen, Hydrolyzed Soy, Hydrolyzed Silk, Hydrolyzed Rice Protein, and Hydrolyzed Corn Protein are safe for use in cosmetics. Additionally, the Panel concluded that Hydrolyzed Wheat Gluten and Hydrolyzed Wheat Protein are safe for use in cosmetics when formulated to restrict peptides to a weight-average MW of 3500 Da or less.

Keratin occurs naturally in epithelial cells and is essential for normal tissue structure and function. Much of the available published literature evaluated the ability of cosmetic or pharmaceutical ingredients to have a desired effect on naturally-occurring keratin in skin, hair, or other tissues. These studies were not relevant for assessing the safety of the keratin-derived ingredients included in this assessment.

The main sources of Keratin ingredients are sheep wool and bovine hoof (nail) or horn. ⁸ Goat (cashmere) wool and bird (chicken) feathers may also be used. Human hair was once a major source of Keratin, but its use is now limited because of the European ban on human-sourced materials for cosmetics. These differing sources could potentially produce or result in keratin with unique properties, which may result in varying compositions and impurities within a single ingredient (e.g., Keratin from human hair may have some impurities that are different from Keratin obtained from bird feathers).

When the specific cosmetic ingredient names are discussed in this report, Keratin and the related ingredients will be capitalized, which is how they are presented in the *International Cosmetic Ingredient Dictionary and Handbook* (see list above). When referring generally to the naturally-occurring protein from which these ingredients are derived, lower-case lettering will be used (i.e., keratin).

CHEMISTRY

Definition

The definition, structures, and functions of the keratin-derived ingredients in this report are provided in Table 1.

The general term, keratin, refers to a broad category of proteins that result in intermediate filaments that form the bulk of cytoplasmic epithelia and epidermal appendage structures (i.e., hair, wool, horn, nails and similar tissues in animals). Keratins can be classified into two distinct groups ("hard" and "soft") based on their structure, function and regulation.

"Hard" keratin filaments form ordered arrays and are the primary contributors to the tough structure of epidermal appendages. Hard keratins (derived from hair, wool, horn, nails or other similar tissues in animals) are utilized as cosmetic ingredients, rather than soft (derived e.g., from cytoplasmic epithelial keratins). These hard keratin proteins contain a much higher content of cysteine residues than the soft keratins in their non-helical domains, and, thus form tougher and more durable structures via intra/intermolecular disulfide bond formation. These hard keratins may be modified/extracted (i.e., hydrolyzed, oxidized, sulfonated, etc.) to produce other cosmetic ingredients (e.g., Hydrolyzed Oxidized Keratin). The structural subunits of these keratins comprise two chains, which differ by molecular weight and amino acid residue sequence (designated types I and II), that each contain non-helical end terminal domains, and a highly-conserved, central α-helical domain.

Chemical and Physical Properties

Keratin is insoluble in water, and many keratin-derived materials have molecular weights between 9 and 60 kDa. 10,11 Keratin proteins extracted from hair are classified into 3 broad groups: alpha, beta, and gamma. 12 α -Keratin (found in hair fiber cortex) is low in sulfur content and has an average molecular weight of 60-80 kDa. β -Keratin is protective and forms the majority of the hair cuticle; this type of keratin is difficult to extract. γ -Keratin is globular, high in sulfur content, and has a molecular weight of \sim 15 kDa.

Molecular weights for Hydrolyzed Hair Keratin are reported to be around 400 Da.¹³ The molecular weights for Hydrolyzed Keratin have been reported to be as low as 150 Da, but may be around 1000 to 3000 Da.¹³⁻¹⁵

Method of Manufacturing

Keratin

Keratins are difficult to solubilize compared to other proteins. After mechanical means of processing, such as grinding of materials like animal horn, one of the first known processes for extracting keratins involved harsh (caustic) conditions using lime. Eventually, a number of oxidative and reductive methods were developed for extracting keratin. Production of many of the ingredients in this assessment involves extraction of keratin via acidor enzymatic- hydrolysis. ¹⁶

Keratin may be produced by non-chemical and chemical methods. Using non-chemical methods, Keratin may be dissolved and converted from wool via steam explosion or from feathers via superheated water. ¹⁰ Under conditions of steam explosion, wool is heated under pressure at a high temperature (with steam ~220°C) for several minutes (~10 min), followed by explosive decompression. The wool is disrupted into solid and liquid phases consisting of oligopeptides, water soluble peptides, and free amino acids. Feather barbs are treated with superheated water (liquid ~220°C) for 2 h and then cooled. The products are oligopeptides with molecular weights of 1.0 to 1.8 kDa.

For the chemical methods, wool Keratin is extracted by reduction or oxidation of disulfide bonds. Reducing agents include thioglycolic acid, dithiothreitol, or 2-mercaptoethanol, and oxidizing agents include peracetic acid or performic acid. These agents must work in combination with a protein denaturing agent, like urea, to break disulfide bonds and disrupt hydrogen bonding. These chemical extraction methods can directly result in some these modified ingredients, such as Oxidized Keratin or Sulfonated Keratin. Resultant keratins may have low or high sulfur content (low sulfur molecular weights between 45-60 kDa, high sulfur molecular weights between 11-28 kDa), or high glycine and tyrosine content (modular weight between 9-12 kDa). It has been reported that Keratin may be extracted from chicken feathers with reducing agents.¹⁷

Hydrolyzed Keratin

Hydrolyzed Keratin may be prepared from sheep wool. The wool is first washed to remove soil and debris and then boiled to remove residual oils. Next, the wool is enzyme-hydrolyzed under mild conditions for 4-6 hours. When the target molecular weight is reached, the pH is adjusted to neutralize the enzyme. The resultant solution is a mixture of Hydrolyzed Keratin fractions with a molecular weight of ~ 1000 Da. The solution may be diluted to produce a 30% active material.

A supplier reported that three Hydrolyzed Keratin products (MW = 310 Da, 320 Da, and 11,000 Da, respectively) are prepared by acidic, alkaline and/or enzymatic hydrolysis of sheep wool until the molecular weight reaches the target range. Further properties and specifications of these products are described in Table 2.

Another supplier certified that their powdered and liquid Hydrolyzed Keratin products are derived from sheep or goat wool. 20-22

Hydrolyzed Keratin and Hydrolyzed Hair Keratin

A supplier reported that Hydrolyzed Hair Keratin and Hydrolyzed Keratin are obtained through acid hydrolysis. Another supplier reported that Hydrolyzed Keratin is manufactured by enzymatic hydrolysis for a specific duration of time and at an elevated temperature (details not provided). The resultant hydrolyzed proteins have molecular weights in the 2000-4000 Da range and all contain di- and tri-peptides.

Soluble Keratin

A supplier reported that a Soluble Keratin powder product (MW = 30,000 Da) is prepared by extraction from sheep wool.²⁴

Composition

Keratins ubiquitously consist of central α -helical rod domains that are flanked by non- α -helical head and tail domains. However, the amino sequences are not highly conserved across various source species (e.g., equine versus human), or even among tissue-specific function-types within one species (e.g., hair versus nail versus skin). Indeed, the differences in amino acid sequences among keratins can be rather striking (e.g., many cysteine residues in hair keratin versus a very small number in epidermal keratin, to no cysteine residues in other types). Accordingly, the species and tissues from which Keratin is derived can significantly impact the composition of the cosmetic ingredient. The amino acid sequences of some keratin proteins have been elucidated. However, the definition of Keratin in the Dictionary is "the protein derived from hair, wool, horn, nails or other similar tissues in animals." Thus, the composition of a keratin-derived ingredient cannot be known without composition data from raw material suppliers.

Keratin

Cysteine residues in Keratin protein molecules make up 7% to 20% of the total amino acid residues. 10

Hydrolyzed Keratin

Amino acid composition data on Hydrolyzed Keratin is described in Table 3.

Impurities

Product specifications for Hydrolyzed Keratin and Soluble Keratin are presented in Table 4. As with most non-synthetic raw materials, numerous environmental factors may profoundly affect the presence and concentration of impurities, dependent on the Keratin source. Accordingly, manufacturers should use best practices to ensure the lowest possible values of any impurities.

Hydrolyzed Keratin

A supplier has certified that their Hydrolyzed Keratin products are free of bovine spongiform encephalopathy. ²⁰⁻²²

<u>USE</u>

Cosmetic

The safety of the cosmetic ingredients included in this assessment is evaluated based on data received from the U.S. Food and Drug Administration (FDA) and the cosmetics industry on the expected use of these ingredients in cosmetics. Use frequencies of individual ingredients in cosmetics are collected from manufacturers and reported by cosmetic product category in FDA's Voluntary Cosmetic Registration Program (VCRP) database. Use concentration data are submitted by Industry in response to surveys, conducted by the Personal Care Products Council (Council), of maximum reported use concentrations by product category.

According to 2016 VCRP data, Hydrolyzed Keratin has the most reported uses of the ingredients listed in this safety assessment in cosmetic products, with a total of 667; more than half of the uses are in rinse-off non-coloring hair products (Table 5).²⁶ Keratin has the second greatest number of overall uses reported, with a total of 90; the majority of the uses are in non-coloring hair products. The results of the concentration of use survey conducted in 2015 by the Council indicate Hydrolyzed Keratin has the highest reported maximum concentration of use; it is used at up to 5% in hair tonics, dressings, and other hair grooming aids. Keratin is used at up to 0.075% in hair tonics, dressings, and other hair grooming aids.

Based on the VCRP data and the results of the Council's concentration of use survey, Hydrolyzed Oxidized Keratin, Hydrolyzed Sulfonated Keratin, Oxidized Keratin, and Sulfonated Keratin are not in use.

Some of these ingredients may be used in products that can come into contact with the eye or mucous membranes. For example, Hydrolyzed Keratin is used in mascara at up to 0.2% and in bath soaps and detergents at up to 0.028%. Additionally, some of these ingredients were reported to be used in hair sprays and could possibly be inhaled. For example, Hydrolyzed Keratin was reported to be used in hair sprays at a maximum concentration of 0.059%. In practice, 95% to 99% of the droplets/particles released from cosmetic sprays have aerodynamic equivalent diameters >10 μ m, with propellant sprays yielding a greater fraction of droplets/particles below 10 μ m compared with pump sprays. Therefore, most droplets/particles incidentally inhaled from cosmetic sprays would be deposited in the nasopharyngeal and bronchial regions and would not be respirable (i.e., they would not enter the lungs) to any appreciable amount. ^{27,29}

The Keratin ingredients described in this safety assessment, with the exception of Hydrolyzed Hair Keratin, are not restricted from use in any way under the rules governing cosmetic products in the European Union (EU).³¹ Hydrolyzed Hair Keratin is a substance prohibited in cosmetic products in the EU due to its human origin; however, the Scientific Committee on Consumer Products (SCCP) concluded that "the resulting risk, based on current scientific data, of the use [of amino acids obtained by hydrolysis of human hair] in cosmetic products for topical application...is negligible" when the human hair has undergone hydrolysis with concentrated HCl (> 20%) for 6 h at 100°C followed by activated carbon filtration, crystallization, and drying.³²

Non-Cosmetic

Non-cosmetic uses of Keratin include use as a biopolymer in nanomaterials and in biomedical applications such as wound dressings, drug delivery, tissue engineering, and trauma and medical devices. 9-12,33,34

TOXICOKINETICS

Absorption, Distribution, Metabolism, Excretion

Keratin

The tissue distribution of enzymatically and chemically fragmented Keratin (MW ~ 8000 Da and 33,000 Da, respectively) was studied in male ddY mice. 34 The radiolabeled test materials were injected via the tail vein at a dose of 2 mg/kg. The mice were killed at varying times following injection. Tissues were excised and weighed, and the radioactivity measured. The fragmented Keratin was found to be quickly eliminated from the plasma, taken up into the kidney, and gradually excreted in urine. Chemically fragmented Keratin was also observed to be taken up into the liver.

Dermal Penetration

Hydrolyzed Keratin

A study of the efficacy of Hydrolyzed Keratin derived from wool stated that Hydrolyzed Keratin peptide can penetrate into the skin and increase moisturization.³⁵ No further details regarding the penetration properties of the Hydrolyzed Keratin were provided.

TOXICOLOGICAL STUDIES

Acute Toxicity

Acute toxicity studies are presented in Table 6. 19,34,36-38 Hydrolyzed Keratin sourced from sheep wool and hoof meal was non-toxic in oral studies in rats (i.e. the LD₅₀ was greater than 40 ml/kg in a 20% solution). Keratin (MW = 8000 Da and 33,000 Da; buffalo horn/hoof source) and Hydrolyzed Keratin (MW = 310 Da; sheep wool source) were non-toxic in intravenous studies in mice.

Repeated Dose Toxicity

No relevant published repeated dose toxicity studies on Keratin ingredients were identified in a literature search for these ingredients, and no unpublished data were submitted.

REPRODUCTIVE AND DEVELOPMENTAL TOXICITY

No relevant published reproductive and developmental toxicity studies on Keratin ingredients were identified in a literature search for these ingredients and no unpublished data were submitted.

GENOTOXICITYIn vitro genotoxicity studies are presented in Table 7. ^{19,24,39-44} Hydrolyzed Keratin (MW ranging from 320 Da to 11,000 Da), Soluble Keratin (MW = 30,000 Da), and Keratin (MW not specified) that were mainly sourced from sheep wool were not mutagenic in Ames studies. In a comet assay, a Keratin peptide (MW = 1600 Da; synthesized from human hair) was not genotoxic in aqueous solutions, but genotoxicity was observed in organic solvent solutions. However, the negative controls also displayed genotoxicity in these solutions.

CARCINOGENICITY

No relevant published carcinogenicity studies on Keratin ingredients were identified in a literature search for these ingredients and no unpublished data were submitted.

IRRITATION AND SENSITIZATION

Dermal Irritation

In vitro, animal, and human dermal irritation studies are presented in Table 8. 17,19,23,24,35,36,44-55 No irritation was predicted in in vitro studies of sheep wool-sourced Hydrolyzed Keratin tested at 100% and Keratin tested at 5%. Keratin (concentration not reported; chicken feather source) and Hydrolyzed Keratin (tested neat; source not provided) were mainly not irritating in rabbit studies. Hydrolyzed Keratin was not irritating in human studies at concentrations up to 25% (sheep wool source), nor was Soluble Keratin at 10% (sheep wool source).

Ocular Irritation

Ocular irritation studies are presented in Table 9. 19,23,24,36,44,46-50,53,56-59 No irritation was predicted in in vitro studies of Keratin (5%), Hydrolyzed Keratin (undiluted), or Soluble Keratin (5%), which were mainly sourced from sheep wool. Hydrolyzed Keratin was minimally to non-irritating in Draize ocular rabbit studies when tested neat (source not provided).

Dermal Sensitization

Dermal sensitization studies are presented in Table 10. 19,24,44,60-65 No sensitization was predicted in in vitro studies of Hydrolyzed Keratin (sheep and goat wool sources). No sensitization to Hydrolyzed Keratin was observed when tested up to 25% in a guinea pig maximization study (sheep wool source) or up to 5% in human repeat insult patch tests (HRIPTs; bovine source). No sensitization was observed in HRIPTs to Keratin (5%; sheep wool source) or Soluble Keratin (10%; sheep wool source).

Phototoxicity and Photosensitization

The potential for phototoxicity of Hydrolyzed Keratin (MW = 320 Da) was studied in 6 male Hartley guinea pigs. ¹⁹ A 25% solution in water was applied to 2 clipped dorsal skin sites (2 cm²). Each site received 0.05 ml test material. One site was covered and the other site was irradiated for 2 h. The sites were evaluated at 24, 28, and 72 h post-irradiation. No further details were provided. No erythema or edema was observed with or without irradiation.

The potential for photosensitization of Hydrolyzed Keratin (MW = 320 Da) was studied in 6 male Hartley guinea pigs. ¹⁹ The guinea pigs were induced on 2 clipped dorsal skin sites (2 cm²) with 0.05 ml of a 25% solution in water. One site was covered and the other irradiated for 2 h daily, 5 times per week for 2 weeks. Following a 2 week rest period, the test sites received the test material with the same site covered and the other site irradiated for 2 h. Sites were evaluated at 24, 48, and 72 h post-irradiation. No further details were provided. No evidence of phototoxicity or photosensitization was observed.

CLINICAL STUDIES

Case Reports

Hydrolyzed Keratin

A 22-year-old woman was reported to have a severe allergic reaction that included marked periorbital edema and swollen, sore, and itchy eyes and hands following use of a hair conditioner. ⁶⁶ Prick testing elicited a strong positive (10 mm) wheal-and-flare response to the hair conditioner, which contained steartrimonium hydrolyzed animal protein. Further prick testing showed further reactions to the quaternary hydrolyzed protein as well as to shampoos and conditioners that contained gelatin keratin amino acids, Hydrolyzed Keratin, and/or hydrolyzed collagen. The allergic reaction to Hydrolyzed Keratin was only observed in the prick test. Patch tests using the European standard series and a series of 15 common bases of medicines and cosmetics were negative.

SUMMARY

The keratin-derived ingredients detailed in this report function mainly as skin and hair conditioning agents in personal care products. Keratin occurs naturally in epithelial cells and is essential for normal tissue structure and function.

According to 2016 VCRP data, Hydrolyzed Keratin has the most reported uses of the ingredients listed in this safety assessment in cosmetic formulations, with a total of 667; more than half of the uses are in rinse-off non-coloring hair formulations. Keratin has the second greatest number of overall uses reported, with a total of 90; the majority of the uses are in non-coloring hair formulations. The results of the concentration of use survey conducted in 2014 by the Council indicate Hydrolyzed Keratin has the highest reported maximum concentration of use; it is

used at up to 5% in hair tonics, dressings, and other hair grooming aids. Keratin is used at up to 0.075% in hair tonics, dressings, and other hair grooming aids.

Non-cosmetic uses of Keratin include use as a biopolymer in nanomaterials and in biomedical applications such as wound dressings, drug delivery, tissue engineering, and trauma and medical devices.

In an intravenous tissue distribution study of enzymatically and chemically fragmented Keratin, fragmented Keratin was found to be quickly eliminated from the plasma, taken up into the kidney, and gradually excreted in urine. Chemically fragmented Keratin was also observed to be taken up into the liver.

Hydrolyzed Keratin peptide derived from wool may penetrate into the skin.

Hydrolyzed Keratin was non-toxic in oral studies in rats (i.e. the LD_{50} was greater than 5 ml/kg in a product with MW = 320 Da). Keratin (MW = 8000 Da and 33,000 Da) and Hydrolyzed Keratin (MW = 310 Da) were non-toxic in intravenous studies in mice.

Hydrolyzed Keratin (MW ranging from 320 to 11,000 Da), Soluble Keratin (MW = 30,000 Da), and Keratin (MW not specified) were not mutagenic in Ames studies. In a comet assay, a Keratin peptide (MW = 1600 Da) was not genotoxic in aqueous solutions, but genotoxicity was observed in organic solvent formulations. However, the negative controls also displayed genotoxicity in these formulations.

No irritation was predicted in in vitro studies of Hydrolyzed Keratin tested at 100% and Keratin tested at 5%. Keratin (concentration not reported) and Hydrolyzed Keratin (tested neat) were not irritating in rabbit studies. Hydrolyzed Keratin was not irritating in human studies at concentrations up to 25%, nor was Soluble Keratin at 10%.

No irritation was predicted in in vitro studies of Keratin (5%), Hydrolyzed Keratin (undiluted), or Soluble Keratin (5%). Hydrolyzed Keratin was minimally to non-irritating in Draize ocular rabbit studies when tested neat.

No sensitization was predicted in in vitro studies of Hydrolyzed Keratin. No sensitization to Hydrolyzed Keratin was observed when tested up to 25% in a guinea pig maximization study or up to 5% in HRIPTs. No sensitization was observed in HRIPTs to Keratin (5%) or Soluble Keratin (10%).

Hydrolyzed Keratin in a 25% solution was not phototoxic or photosensitizing in guinea pigs.

No relevant published repeated dose toxicity, reproductive and developmental toxicity, or carcinogenicity studies on Keratin ingredients were identified in a literature search for these ingredients and no unpublished data were submitted.

DISCUSSION

Keratin occurs naturally in epithelial cells and is essential for normal tissue structure and function. The main sources for Keratin and Hydrolyzed Keratin are sheep wool and bovine hoof or horn. Goat wool, bird feathers, and human hair may also be used. Keratin ingredients derived from different sources are likely to have different compositions and impurities, which may result in varying compositions and impurities within a single ingredient (e.g., Keratin from human hair may have some impurities that are different from Keratin obtained from bird feathers).

Pesticide residues and heavy metals may be present in Keratin source materials. The Panel stressed that the cosmetics industry should continue to use the necessary procedures to limit these impurities in the ingredients before blending into cosmetic formulations.

The Panel was also concerned about the inherent risks of using animal- and human-derived ingredients in cosmetic products, namely the potential for transmission of infectious agents. The Panel stressed that these ingredients must be free of detectible infectious pathogens (e.g. Bovine Spongiform Encephalopathy (BSE), Human Immunodeficiency Virus (HIV), and Creutzfeld-Jacob disease (CJD)). Raw material suppliers and formulators of these ingredients must assure that these ingredients are free from pathogenic viruses and other infectious agents.

The Panel discussed the issue of incidental inhalation exposure in hair sprays. There were no inhalation toxicity data available. The Panel considered other pertinent data indicating that incidental inhalation exposures to keratin-derived ingredients in such cosmetic products would not cause adverse health effects, including data characterizing the potential for keratin-derived ingredients to cause systemic toxicity, ocular or dermal irritation or sensitization, and other effects. These ingredients are reportedly used at concentrations up to 0.059% in cosmetic products that may be aerosolized. The Panel noted that 95% – 99% of droplets/particles produced in cosmetic aerosols would not be respirable to any appreciable amount. The potential for inhalation toxicity is not limited to respirable droplets/particles deposited in the lungs. In principle, inhaled droplets/particles deposited in the nasopharyngeal and thoracic regions of the respiratory tract may cause toxic effects depending on their chemical and other properties. However, coupled with the small actual exposure in the breathing zone and the concentrations at which the ingredients are used, the available information indicates that incidental inhalation would not be a significant route of exposure that might lead to local respiratory or systemic effects. A detailed discussion and

summary of the Panel's approach to evaluating incidental inhalation exposures to ingredients in cosmetic products is available at http://www.cir-safety.org/cir-findings.

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CONCLUSION

The CIR Expert Panel concluded the following keratin-derived ingredients are safe in cosmetics in the present practices of use and concentration as described in this safety assessment.

Hydrolyzed Keratin Hydrolyzed Hair Keratin Hydrolyzed Oxidized Keratin* Hydrolyzed Sulfonated Keratin*

Keratin
Oxidized Keratin*
Soluble Keratin
Sulfonated Keratin*

^{*}Not reported to be in current use. Were ingredients in this group not in current use to be used in the future, the expectation is that they would be used in product categories and at concentrations comparable to others in this group.

 \underline{TABLES} Table 1. Definitions, Structures, and functions of the ingredients in this safety assessment. 67

Ingredient/CAS No.	Definition & Structure	Function
Hydrolyzed Keratin 65997-21-9 73049-73-7	Hydrolyzed Keratin is the hydrolysate of keratin derived by acid, enzyme or other method of hydrolysis.	hair conditioning agents; nail conditioning agents; skin-conditioning agents-misc.
Hydrolyzed Hair Keratin 69430-36-0 73049-73-7 [peptones]	Hydrolyzed Hair Keratin is the hydrolysate of human hair keratin derived by acid, enzyme or other method of hydrolysis.	hair conditioning agents; skin- conditioning agents- misc.
Hydrolyzed Oxidized Keratin [1142948-22-8]	Hydrolyzed Oxidized Keratin is the hydrolysate of Oxidized Keratin obtained by acid, enzyme or other method of hydrolysis.	hair conditioning agents; skin- conditioning agents- misc.
Hydrolyzed Sulfonated Keratin [1119233-83-8]	Hydrolyzed Sulfonated Keratin is the hydrolysate of Sulfonated Keratin derived by acid, enzyme or other method of hydrolysis.	hair conditioning agents; skin- conditioning agents- emollient; skin- conditioning agents- humectant
Keratin 169799-44-4 68238-35-7	Keratin is the protein derived from hair, wool, horn, nails or other similar tissues in animals.	hair conditioning agents; skin- conditioning agents- misc.
Oxidized Keratin [143819-61-8]	Oxidized Keratin is the material derived chemically from Keratin by oxidation with hydrogen peroxide. This reaction converts some of the sulfur atoms in Cysteine and Cystine residues in keratin to the corresponding sulfonic acid grouping (cysteic acid).	hair conditioning agents; skin- conditioning agents- misc.
Soluble Keratin	Soluble Keratin [is] a water soluble nonhydrolyzed, native protein derived from Keratin.	hair conditioning agents
Sulfonated Keratin [1119232-93-7]	Sulfonated Keratin is the product obtained by the oxidative sulfitolysis of wool.	film formers; hair conditioning agents; skin protectants

Table 2. Properties and specifications of Hydrolyzed Keratin products as reported by a supplier. ⁶⁸⁻⁷⁰

Specification	Hydrolyzed Protein	Hydrolyzed Protein	Hydrolyzed Protein
	(sheep wool source, powder)	(sheep wool source, solution)	(goat wool source, solution)
Appearance	Pale cream free flowing powder	Clear to hazy amber liquid	Clear to hazy amber liquid
Color	8 Gardner max (10% solution)	11 Gardner max	11 Gardner max
Odor	Slight, characteristic	Characteristic protein note	Characteristic
Moisture Content (1g-1h-105°C)	8.0% max	30.0-35.0%	30.0-35.0%
рН	5.0-7.0 (10% solution at 25°C)	5.5-7.0 (direct)	5.5-7.0 (direct)
Ash (800°C)	4.0% max	4.0% max	4.0% max
Nitrogen (Kjeldahl)	14.0% min	4.0% min	4.0% min
Heavy Metals	< 20 ppm	< 20 ppm	< 20 ppm
Arsenic	< 2 ppm	< 2 ppm	< 2 ppm
Microbial content	< 100 opg, no pathogens	< 100 opg, no pathogens	< 100 opg, no pathogens

opg = organisms per gram

Table 3. Amino acid composition of commercialized keratin hydrolysate (g/100 g protein). $^{71}\,$

(g/100 g protein).	
Cysteic acid	0.3
Hydroxyproline	0
Aspartic acid	7.8
Threonine	6.1
Serine	8.1
Glutamic acid	17.0
Proline	7.6
Glycine	3.9
Alanine	7.6
Cystine	7.2
Valine	5.7
Methionine	1.0
Isoleucine	3.8
Leucine	8.1
Tyrosine	2.0
Phenylalanine	2.4
Lysine	3.2
Histidine	1.0
Arginine	9.0

Table 4. Specifications of keratin-derived products. 19,24

	Average Molecular Weight (Da)	Concentration	Heavy Metals	Arsenic
Hydrolyzed Keratin Product 1	310	25% solution in water	Not more than 10 ppm	Not more than 1 ppm
Hydrolyzed Keratin Product 2	320	25% solution in water	Not more than 10 ppm	Not more than 1 ppm
Hydrolyzed Keratin Product 3	11,000	20% solution in water	Not more than 10 ppm	Not more than 1 ppm
Soluble Keratin Powder	30,000	NR	Not more than 20 ppm lead	Not more than 2 ppm

Table 5. Frequency and concentration of use according to duration and type of exposure for Keratin ingredients. 26,72

	# of Uses	Max Conc of Use (%)	# of Uses	Max Conc of Use (%)
-	Keratin		Hydrolyze	d Hair Keratin
Totals [†]	90	0.075	27	NR
Duration of Use				
Leave-On	44	0.075	3	NR
Rinse Off	46	NR	24	NR
Diluted for (Bath) Use	NR	NR	NR	NR
Exposure Type				
Eye Area	7	NR	NR	NR
Incidental Ingestion	NR	NR	NR	NR
Incidental Inhalation -Sprays	2; 18ª	0.075^{a}	1 ^a	NR
Incidental Inhalation – Powders	NR	NR	NR	NR
Dermal Contact	3	NR	NR	NR
Deodorant (underarm)	NR	NR	NR	NR
Hair - Non-Coloring	80	0.075	27	NR
Hair-Coloring	NR	NR	NR	NR
Nail	2	NR	NR	NR
Mucous Membrane	NR	NR	NR	NR
Baby Products	NR	NR	NR	NR

	Hydrolyz	zed Keratin	Soluble	Keratin ^d
Totals [†]	667	0.000034-5	1	NR
Duration of Use				
Leave-On	249	0.000034-5	NR	NR
Rinse Off	417	0.0001-0.88	1	NR
Diluted for (Bath) Use	1	< 0.01	NR	NR
Exposure Type				
Eye Area	36	0.001-0.2	NR	NR
Incidental Ingestion	NR	NR	NR	NR
Incidental Inhalation -Sprays	26; 131 ^a ; 9 ^b	0.000034-0.059; 0.0003-5 ^a	NR	NR
Incidental Inhalation – Powders	9^{b}	0.0025 ^c	NR	NR
Dermal Contact	40	0.001-0.21	NR	NR
Deodorant (underarm)	1 ^a	NR	NR	NR
Hair - Non-Coloring	393	0.000034-5	1	NR
Hair-Coloring	193	0.0001-0.5	NR	NR
Nail	10	0.002-0.04	NR	NR
Mucous Membrane	10	0.01-0.028	NR	NR
Baby Products	NR	NR	NR	NR

NR = Not reported.

[†] Because each ingredient may be used in cosmetics with multiple exposure types, the sum of all exposure types may not equal the sum of total uses

^{a.} It is possible these products may be sprays, but it is not specified whether the reported uses are sprays.

b. Not specified whether a powder or a spray, so this information is captured for both categories of incidental inhalation.

^c It is possible these products may be powders, but it is not specified whether the reported uses are powders.

d. Listed in the VCRP as Soluble Animal Keratin.

Table 6. Acute toxicity studies

Ingredient	Concentration/Dose	Animals	Results	Reference
		Oral		
Hydrolyzed Keratin (MW = 320 Da; sheep wool source)	5 ml/kg of a 25% solution in water	5 male and 5 female Wistar rats	$LD_{50} > 5 \text{ ml/kg}$	19
Hydrolyzed Keratin (MW = 11,000 Da; sheep wool source)	2 g/kg of a 20% solution in water	3 male and 3 female Sprague- Dawley CD rats	$LD_{50} > 2 g/kg$	19
Hydrolyzed Keratin (hoof meal source)	10 g/kg of a 20% solution	5 male and 5 female Sprague- Dawley rats	$LD_{50} > 10 \text{ g/kg}$	38
Hydrolyzed Keratin (hoof meal source)	2.5, 5.0, 10.0, 20.0, or 40.0 ml/kg of a 20% solution	Five groups of 3 male and 2 female Wistar rats	$LD_{50} > 40 \text{ ml/kg}$	37
Hydrolyzed Keratin (hoof meal source)	5 g/kg of a 25% solution	5 male and 5 female Wistar rats	$LD_{50} > 5 g/kg$	36
		Intravenous		
Keratin (enzymatically and chemically fragmented, MW ~ 8000 Da and 33,000 Da, respectively; buffalo horn/hoof source)	300 mg/kg dissolved in 1/15 M sodium phosphate buffer	male ddY mice, number not reported	No significant changes observed in lungs, livers, kidneys, spleens; body weight changed similar to those of the control group	34
Hydrolyzed Keratin (MW = 310 Da; sheep wool source)	5 ml/kg of a 20% solution in water	15 male ddY mice	LD ₅₀ > 5 ml/kg	19

Table 7. In vitro genotoxicity studies

Table 7. In vitro genotoxicity studi	Concentration/Dose	Study Protocol	Results	Reference
Keratin (characterized as a peptide with a MW ~1600 Da, 13 amino acid sequence comprised of 2 cysteine residues; synthesized from human hair)	0.025 g/L to 0.5 g/L prepared in an aqueous solution with 100% phosphate buffer or in an organic solvent solution with 10% ethanol, 1.5% propylene glycol, 0.5% benzyl alcohol, and 88% phosphate buffer	Comet assay with human fibroblasts; cells incubated with test materials and controls for either 1 h or 72 h	In the aqueous solutions, Keratin peptide was not genotoxic; in the organic solvent solutions for the 1 h exposure, genotoxicity was observed in the negative controls as well as in the treated cells; for the 72 h exposure, genotoxicity was also observed, but the extent of DNA damage was not as great as observed in the 1 h exposure	39
Keratin (sheep wool source)	100, 333, 1000, 3333, and 5000 μg/plate	Bacterial reverse mutation assay (Ames test) Salmonella typhimurium strains TA 98, TA 100, TA 1535, and TA 1537 and Escherichia coli strain WP2 uvr A, with and without metabolic activation	Non-mutagenic	44
Hydrolyzed Keratin (25% solution in water; MW = 320 Da; sheep wool source)	500 to 100,000 μg/plate	Ames test using S. typhimurium strains TA 98, TA 100, TA 1535, TA 1537, and TA 1538 and in E. coli strain WP2 uvrA, with or without metabolic activation	Non-mutagenic	19
Hydrolyzed Keratin (MW = 3000 Da; 14% peptide content; source not provided)	up to 5000 μg/plate	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and in <i>E. coli</i> strain WP2 uvrA, with or without metabolic activation	Non-mutagenic	40
Hydrolyzed Keratin (20% solution in water; MW = 11,000 Da; sheep wool source)	50 to 5000 μg/plate	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and in <i>E. coli</i> strain WP2 uvrA, with or without metabolic activation	Non-mutagenic	19
Hydrolyzed Keratin (powder product, sheep wool source; MW not provided)	1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 μg/plate	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and <i>E. coli</i> strain WP2 uvr A, with and without metabolic activation	Non-mutagenic	43
Hydrolyzed Keratin (solution, sheep wool source; MW not provided)	1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µ/plate	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and <i>E. coli</i> strain WP2 uvr A, with and without metabolic activation	Non-mutagenic	42
Hydrolyzed Keratin (solution, goat wool source; MW not provided)	1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µ/plate	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and <i>E. coli</i> strain WP2 uvr A, with and without metabolic activation	Non-mutagenic	41
Soluble Keratin (MW = 30,000 Da; sheep wool source)	50 to 5000 μg/plate.	Ames test using <i>S. typhimurium</i> strains TA 98, TA 100, TA 1535, and TA 1537 and in <i>E. coli</i> strain WP2 uvrA, with or without metabolic activation	Non-mutagenic	24

Table 8. Dermal irritation studies.

Ingredient	Concentration	Method In Vitro	Results	Reference
Keratin (sheep wool source)	5%	EpiDerm™ skin model	Non-irritating	44
Hydrolyzed Keratin (source not provided)	100% (MW = 3000 Da)	EpiDerm TM skin model	Non-irritating	51
Hydrolyzed Keratin (source not provided)	2 forms tested (MW = 2000-4000 Da), solution concentration not reported, powder undiluted	EpiDerm™ assay	Non-irritating	23
Hydrolyzed Keratin (sheep wool source)	Undiluted powder product (MW not provided)	EpiDerm™ skin model	Non-irritating	48
Hydrolyzed Keratin (sheep wool source)	25, 50, 75, 100, and 125 μl (MW not provided)	Irritection® dermal assay	Non-irritating	47
Hydrolyzed Keratin (goat wool source)	25, 50, 75, 100, and 125 μl (MW not provided)	Irritection® dermal assay	Non-irritating	46
		Animal		
Keratin (chicken feathers source)	Not reported	6 male rabbits received test material in a cream daily for 8 weeks on a 4 cm ² area in an efficacy study (no further studies)	No irritation was observed	17
Hydrolyzed Keratin (hoof meal source)	20% solution	Primary dermal irritation study in 6 female New Zealand white rabbits; 0.5 ml occluded for 24 h; intact and abraded skin; exposure area 2.5 cm ²	Well defined erythema in both intact and abraded skin of 4 rabbits 24 h post-dosing while the remaining 2 rabbits had very slight erythema; very slight edema in 3 abraded and 3 intact sites, slight edema in 1 abraded and 2 intact sites, and moderate edema in 1 abraded site; irritation response declined during study with well-defined erythema and very slight edema remaining at abraded site and very slight erythema remaining at 5 abraded and 4 intact sites at 72 h post-dosing; PII = 2.0; mildly irritating	52
Hydrolyzed Keratin (hoof meal source)	20% solution	Draize primary dermal irritation study in 6 albino rabbits; 0.5ml occluded for 24 h; intact and abraded skin; exposure area 1 in ²	Erythema in abraded skin of all 6 rabbits and edema in abraded skin of 4 rabbits; edema cleared in 2 of the rabbits by the 72 h observation period; mild irritant; PII = 0.75	55
Hydrolyzed Keratin (hoof meal source)	25% solution	Draize primary dermal irritation study in 6 New Zealand white rabbits; 0.5 ml occluded for 24 h; intact and abraded skin; exposure area 2.5 cm ²	PII = 0.43; not a primary dermal irritant	36
Hydrolyzed Keratin (sheep wool source)	25% solution in water (MW = 320 Da)	Draize primary dermal irritation study in 6 New Zealand white male rabbits; 0.5 ml occluded for 24 h; intact and abraded skin; exposure area 2.5 cm ²	Very slight erythema in abraded skin of 2/6 rabbits after 24 h and 1/6 rabbits after 72 h; mild erythema in abraded skin of 1/6 rabbits after 72 h; PII = 0.2.; non-irritant	19
Hydrolyzed Keratin (sheep wool source)	20% solution in water (MW = 11,000 Da)	Draize primary dermal irritation study in 3 male New Zealand white rabbits; 0.5 ml occluded for 4 h; intact skin; exposure area 2.5 cm ²	No skin irritation noted; PII – 0.0; non-irritant	19
Hydrolyzed Keratin (source not provided)	Neat (MW = 500 Da)	Draize primary dermal irritation study in 6 New Zealand white rabbits; occluded for 24 h	PII = 2.15; not a primary irritant	50
Hydrolyzed Keratin (source not provided)	Neat (MW not provided)	Draize primary dermal irritation study in 6 male New Zealand white rabbits; occluded for 24 h	PII = 0.4; not a primary irritant	54
Hydrolyzed Keratin (source not provided)	Neat (MW = 125,000 Da)	Draize primary dermal irritation study in 6 female New Zealand white rabbits; occluded for 24 h	PII = 2.0; not a primary irritant	45
Hydrolyzed Keratin (source not provided)	Neat (MW = 600 Da)	Draize primary dermal irritation study in 6 New Zealand white rabbits; occluded for 24 h	PII = 0.0; not a primary irritant	49

Table 8. Dermal irritation studies.

Ingredient	Concentration	Method	Results	Reference
		Human – In Vivo		
Hydrolyzed Keratin (enzymatically hydrolyzed; non- specific wool source)	Hand cream containing 3% Keratin peptide (as supplied, 0.3% active; MW = < 1000 Da or 6-8 amino acids)	Efficacy study in 16 female volunteers on undisturbed hand skin and on sodium lauryl sulfate disturbed hand skin; exposure was once a day for 2.5 weeks for a total of 12 applications; exposure area = 9 cm ² ; amount not reported	No adverse effects	35
Hydrolyzed Keratin (sheep wool source)	25% solution in water (as supplied, MW = 310 Da)	24 h occlusive human patch test in 40 healthy subjects and 10 allergic subjects; 0.5 ml test material applied by Finn chambers	Non-irritant	19
Hydrolyzed Keratin (sheep wool source)	25% solution in water (as supplied, MW = 320 Da)	24 h occlusive human patch test in 24 health subjects; 0.2 ml test material applied by adhesive tape	Non-irritant	19
Hydrolyzed Keratin (sheep wool source)	20% solution in water (as supplied, MW = 11,000 Da)	24 h occlusive human patch test in 23 subjects; 0.03 g test material applied by Finn chambers	1 subject had a mild erythema reaction at 30-60 min post- patch removal; no erythema in any subjects at 24 h post-patch removal; non-irritant	19
Soluble Keratin (sheep wool source)	10% active in distilled water (MW = 30,000 Da)	24 h occlusive human patch test in 20 subjects; test material applied by Finn chambers	Non-irritant	24

Table 9. Ocular irritation studies.

Ingredient	Concentration	Method In Vitro	Results	Reference
Keratin (sheep wool source)	5% tested at 1%, 5%, and 10% in distilled water	HET-CAM method	Practically no irritation potential	44
Hydrolyzed Keratin (sheep wool source)	25, 50, 75, 100, and 125 µl (MW not provided)	Irritection® ocular assay	Minimal irritant	47
Hydrolyzed Keratin (goat wool source)	25, 50, 75, 100, and 125 µl (MW not provided)	Irritection® ocular assay	Minimal irritant	46
Hydrolyzed Keratin (sheep wool source)	Undiluted powder product (MW not provided)	EpiOcular assay	Non-irritating	48
Hydrolyzed Keratin (source not provided)	1%, 5% and 10% (MW = 3000 Da)	HET-CAM method	Practically no irritation potential at 1% and 5%. Slight irritation potential at 10%.	56
Hydrolyzed Keratin (source not provided)	2 forms tested (MW = 2000-4000 Da), solution concentration not reported, powder undiluted	EpiOcular assay	Non-irritating	23
Soluble Keratin (sheep wool source)	Product (MW = 30,000 Da) diluted in distilled water to 5%	HET-CAM method	Average score 0.75; non-irritant	24
		Animal		58
Hydrolyzed Keratin (hoof meal source)	20% solution	0.1 ml instilled into the right eye of 6 female New Zealand white rabbits; eyes assessed 24, 48, and 72 h post-dosing	Slight conjunctival redness noted in 1 rabbits at 24 h post-dosing; non-irritating	
Hydrolyzed Keratin (hoof meal source)	20% solution	Unreported amount instilled into eyes of 6 albino rabbits; eyes assessed 24, 48, and 72 h and 7 days post-dosing	Conjunctival effects observed in 3 rabbits that cleared by the 48 h observation period; mild, transient irritant	53
Hydrolyzed Keratin (hoof meal source)	25% solution	0.1 ml instilled into one eye of 6 New Zealand white rabbits; eyes assessed 24, 48, and 72 h and 4 and 7 days post-dosing	Non-irritating	36
Hydrolyzed Keratin (sheep wool source)	25% solution in water diluted by 1%, 5%, 10%, 15%, and 25% v/v in saline (MW = 310 Da)	Dilutions instilled into one eye each of groups of 3 male domestic rabbits while other eye received saline; eyes observed immediately after instillation and at 1 and 24 h after instillation	Redness of the eye was not observed in 1 % and 5% dilutions. Redness of the eye was observed immediately after instillation, but not observed at 1 and 24 h after instillation in 10 %, 15%, and 25% dilutions. Considered a non-irritant at 1.25% active and a mild irritant at 2.5% active	19
Hydrolyzed Keratin (sheep wool source)	25% solution in water (MW = 320 Da)	Ocular irritation study in 6 male New Zealand white rabbits; material instilled in one eye and other eye served as control, eyes observed at 24, 48, and 72 h after instillation; no further details provided	Ocular irritation score = 0; non-irritating	19
Hydrolyzed Keratin (sheep wool source)	25% solution in water (MW = 320 Da)	Cumulative ocular irritation study in 6 male New Zealand white rabbits; test material instilled into one eye 3 times a day for 4 days; other eye served as control	Hyperemia of the iris observed in 3/6 rabbits for 4 days and in 1/6 rabbits for 3 days; hyperemia of the conjunctivae observed in 4/6 rabbits for 4 days and in 1/6 rabbits for 1 day; minimal irritant	19
Hydrolyzed Keratin (source not provided)	Neat (MW not provided)	Draize ocular irritation study in 6 New Zealand white rabbits; unrinsed eyes	Slight ocular irritant	59
Hydrolyzed Keratin (source not provided)	Neat (MW = 500 Da)	Draize ocular irritation study in 6 New Zealand white rabbits; unrinsed eyes	Minimal ocular irritant	50
Hydrolyzed Keratin (source not provided)	Neat (MW = 125,000 Da)	Draize ocular irritation study in 6 female New Zealand White rabbits; unrinsed eyes	Non-irritating	57
Hydrolyzed Keratin (source not provided)	Neat (MW = 600 Da)	Draize ocular irritation study in 6 New Zealand white rabbits; unrinsed eyes	Non-irritating	49

Table 10. Dermal sensitization studies.

Ingredient	Concentration	Method	Results	Reference
		In vitro		
Hydrolyzed Keratin (powder product, sheep wool source)	0.39-1600 μg/ml in DMSO	ARE-Nrf2 Luciferase Test Method	Predicted to be non- sensitizing	61
Hydrolyzed Keratin (solution, sheep wool source)	0.39-1600 μg/ml in DMSO	ARE-Nrf2 Luciferase test method	Predicted to be non- sensitizing	62
Hydrolyzed Keratin (solution, goat wool source)	0.39-1600 μg/ml in DMSO	ARE-Nrf2 Luciferase test method	Predicted to be non- sensitizing	60
		Animal		
Hydrolyzed Keratin (sheep wool source)	25% solution in water (MW = 320 Da)	Guinea pig maximization test in 3 male and 3 female Harley guinea pigs	No reactions observed in animals at 24 and 48 h after challenge patch removal; non- sensitizer	19
Hydrolyzed Keratin (sheep wool source)	25% solution in water (MW = 320 Da)	35 day cumulative exposure study in 8 Hartley male guinea pigs; exposure area 2.5 cm ² on clipped dorsal skin	Very slight erythema in 2/8 guinea pigs after day 13 and 1/8 guinea pigs after day 16; mild erythema in 2/8 guinea pigs after day 13, 1/8 guinea pigs after day 14 and 1/8 after day 15; minimally irritant	19
		Human		44
Keratin (sheep wool source)	5%	HRIPT with 51 subjects; exposure site was 1 inch ²	No dermal irritation or sensitization	
Hydrolyzed Keratin (source not provided)	Not reported (MW = 3000 Da)	HRIPT with 51 subjects; semi- occlusive	No dermal irritation or sensitization	63
Hydrolyzed Keratin (non- specific bovine source)	0.1% (prick test) and 5% (patch test	HRIPT with 500 patients; prick tests in 25 subjects with scalp dermatitis to the test materials (no further details provided)	No positive patch test reactions and no positive prick test reactions	64
Multiple Hydrolyzed Proteins including Hydrolyzed Keratin (source not provided)	Not reported	Sensitization study of protein hydrolysates in hair care products in 3 groups of patients. Group 1 was comprised of 11 hairdressers with hand dermatitis, group2 was comprised of 2160 consecutive adults with suspected allergic respiratory disease, and group 3 was comprised of 28 adults with atopic dermatitis. Subjects submitted to scratch and/or prick tests.	No adverse reactions to Hydrolyzed Keratin were observed.	65
Soluble Keratin (sheep wool source)	10% active in distilled water	HRIPT with 50 subjects; occlusive; 0.2 ml applied	Non-sensitizer	24

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