

# Rational Invariants of Algebraic Group Actions

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# 1 Computing rational invariants

## 1.1 Action of an algebraic group

Rational action  $\star$  of an affine algebraic group  $\mathcal{G}$

$\mathcal{G} \subset \mathbb{K}^l$  an algebraic variety

$G \subset \mathbb{K}[\lambda_1, \dots, \lambda_l]$  its ideal

Group structure:

$$m : \mathcal{G} \times \mathcal{G} \rightarrow \mathcal{G} \quad \text{and} \quad i : \mathcal{G} \rightarrow \mathcal{G}$$

$$(\lambda, \mu) \mapsto \lambda \cdot \mu \quad \lambda \mapsto \lambda^{-1}$$

Rational action of  $\mathcal{G}$  on  $\mathcal{Z} = \mathbb{K}^n$

$$\lambda \star z = \left( \frac{p_1(\lambda, z)}{q(\lambda, z)}, \dots, \frac{p_n(\lambda, z)}{q(\lambda, z)} \right)$$

$$q, p_1, \dots, p_n \in \mathbb{K}[\lambda_1, \dots, \lambda_l, z_1, \dots, z_n]$$

Orbit  $\mathcal{O}_z$  of  $z \in \mathcal{Z}$  : the image of  $\mathcal{G}$  under  $\lambda \mapsto \lambda \star z$

### Examples of Algebraic Groups

$$\mathrm{SL}_n(\mathbb{K}) = \{A \in \mathbb{K}^{n \times n} \mid \det A = 1\}$$

$$\mathrm{O}_n(\mathbb{K}) = \{A \in \mathbb{K}^{n \times n} \mid A^t A = I_n\}$$

$$\mathrm{SO}_n(\mathbb{K}) = \mathrm{O}_n(\mathbb{K}) \cap \mathrm{SL}_n(\mathbb{K})$$

$$\mathrm{GL}_n(\mathbb{K}) = \{A \in \mathbb{K}^{n \times n} \mid \det A \neq 0\}$$

naturally act on  $\mathbb{K}^n$

### Examples of rational actions of $\mathrm{SL}_2$

The action of  $\mathrm{SL}_2(\mathbb{C})$  on forms  $z_0x^2 + z_1xy + z_2y^2$  of degree 2

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \star \begin{pmatrix} z_0 \\ z_1 \\ z_2 \end{pmatrix} = \begin{pmatrix} a^2 & ac & c^2 \\ 2ab & ad+bc & 2cd \\ b^2 & bd & d^2 \end{pmatrix} \begin{pmatrix} z_0 \\ z_1 \\ z_2 \end{pmatrix}$$

Projective action of  $\mathrm{SL}_2(\mathbb{R})$  on quadruples of  $\mathbb{R}$ :

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \star \begin{pmatrix} z_0 \\ z_1 \\ z_2 \\ z_3 \end{pmatrix} = \begin{pmatrix} \frac{a z_0 + b}{c z_0 + d} \\ \frac{a z_1 + b}{c z_1 + d} \\ \frac{a z_2 + b}{c z_2 + d} \\ \frac{a z_3 + b}{c z_3 + d} \end{pmatrix}$$

## Rational invariants

$$\star: \mathcal{G} \times \mathcal{Z} \rightarrow \mathcal{Z}$$

$$\mathcal{O}_z = \{\lambda \star z \mid \lambda \in \mathcal{G}\}$$

Rational invariant:  $f \in \mathbb{K}(z_1, \dots, z_n)$  s.t.  $f(\lambda \star z) = f(z), \forall \lambda \in \mathcal{G}$

Field of rational invariants:  $\mathbb{K}(\mathcal{Z})^{\mathcal{G}}$

finitely generated

THM:  $\mathbb{K}(\mathcal{Z})^{\mathcal{G}} = \mathbb{K}(r_1, \dots, r_k) \Leftrightarrow \{r_1, \dots, r_k\}$  separating

[Rosenlicht 56]

Separation property:

For  $z, z' \in \mathcal{Z} \setminus \mathcal{W}$

$$z' \in \mathcal{O}_z \Leftrightarrow g_1(z) = g_1(z'), \dots, g_k(z) = g_k(z')$$

## 1.2 Generic orbit ideal

The ideal  $O$  of a generic orbit

$$\mathcal{O}_z = \{\lambda \star z \mid \lambda \in \mathcal{G}\}$$

$$O = (G + (Z - \lambda \star z)) : q^\infty \cap \mathbb{K}(z)[Z]$$

$$\mathcal{V}(\cdot) O|_z = \bar{\mathcal{O}}_z$$

$$\lambda \star z = \left( \frac{p_1(\lambda, z)}{q(\lambda, z)}, \dots, \frac{p_n(\lambda, z)}{q(\lambda, z)} \right)$$

$$(Z - \lambda \star z) = (q(\lambda, z) Z_1 - p_1(\lambda, z), \dots, q(\lambda, z) Z_n - p_n(\lambda, z)) \subset \mathbb{K}(z)[\lambda, Z]$$

$$\mathcal{O}_z = \mathcal{O}_{\lambda \star z}$$

Prop:  $Q(z)$  a canonical representative of  $O \Rightarrow Q(\lambda \star z) = Q(z)$

## Generation & Rewriting

Rewriting  $\frac{p}{q} \in \mathbb{K}(z)^{\mathcal{G}}$

$Q$  reduced Gröbner basis of  $O$

- $y_1, \dots, y_k$  a new indeterminates

$\{r_1, \dots, r_k\}$  the coefficients of  $Q$

- $Q_y := Q(r_i \leftarrow y_i)$

Theorem:

$$\mathbb{K}(z)^{\mathcal{G}} = \mathbb{K}(r_1, \dots, r_k)$$

- $p(Z) \rightarrow_{Q_y}^* \sum_{\alpha} a_{\alpha}(y) Z^{\alpha}$

- $q(Z) \rightarrow_{Q_y}^* \sum_{\alpha} b_{\alpha}(y) Z^{\alpha}$

- $\frac{p(z)}{q(z)} = \frac{a_{\alpha}(r)}{b_{\alpha}(r)}$



### 1.3 Section to the orbits

Essential geometric ingredient: section of degree  $e$

*An irreducible variety  $\mathcal{P}$  that intersects generic orbits in  $e$  points.*

A generic affine space of dimension  $n - d$  is a section

where  $d =$  dimension of generic orbits

Essential algebraic ingredient: intersection ideal  $I$

$$I \subset \mathbb{K}(z_1, \dots, z_n)[Z_1, \dots, Z_n] \quad \dim_{\mathbb{K}} \mathbb{K}(z)[Z]/I = e$$

Under specialization  $z_i \mapsto \bar{z}_i \in \mathbb{K}$

$$I_{\bar{z}} \subset \mathbb{K}[Z] \text{ is the ideal of } \mathcal{O}_{\bar{z}} \cap \mathcal{P}$$

for  $\bar{z} \in \mathcal{Z} \setminus \mathcal{W}$

Prp:

$$I_{\lambda \star \bar{z}} = I_{\bar{z}}$$

**Intersection ideal as an elimination ideal**

$$I = (P + (Z - \lambda \star z) + G) : q^\infty \cap \mathbb{K}(z)[Z]$$

$P$  a prime ideal in  $\mathbb{K}[Z]$        $\mathcal{P} = \mathcal{V}(P)$

$$\lambda \star z = \left( \frac{p_1(\lambda, z)}{q(\lambda, z)}, \dots, \frac{p_n(\lambda, z)}{q(\lambda, z)} \right)$$

$$(Z - \lambda \star z) = (q(\lambda, z) Z_1 - p_1(\lambda, z), \dots, q(\lambda, z) Z_n - p_n(\lambda, z))$$

an ideal of  $\mathbb{K}(z)[\lambda, Z]$

**Invariants from the reduced Gröbner basis**

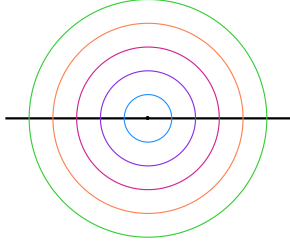
$$I = (P + (Z - \lambda \star z) + G) : q^\infty \cap \mathbb{K}(z)[Z]$$



### Linear actions in the plane

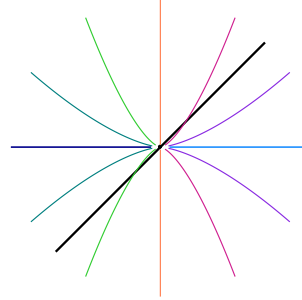
$$\lambda_1^2 + \lambda_2^2 = 1$$

$$(\lambda_1, \lambda_2) \mapsto \begin{pmatrix} \lambda_1 & -\lambda_2 \\ \lambda_2 & \lambda_1 \end{pmatrix}$$



$$Q = \{Y, X^2 - (x^2 + y^2)\}$$

$$\lambda \mapsto \begin{pmatrix} \lambda^2 & 0 \\ 0 & \lambda^3 \end{pmatrix} \in \mathbb{K}^*$$



$$Q = \left\{ X - \frac{x^3}{y^2}, Y - \frac{x^3}{y^2} \right\}$$

### Rational sections of $SL_2$

- The action of  $SL_2(\mathbb{C})$  on forms  $z_0x^2 + z_1xy + z_2y^2$  of degree 2

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \star \begin{pmatrix} z_0 \\ z_1 \\ z_2 \end{pmatrix} = \begin{pmatrix} a^2 & ac & c^2 \\ 2ab & ad+bc & 2cd \\ b^2 & bd & d^2 \end{pmatrix} \begin{pmatrix} z_0 \\ z_1 \\ z_2 \end{pmatrix}$$

$$I = \left( \underbrace{Z_0 - 1, Z_1, Z_2}_{P} + \frac{1}{4} (z_1^2 - 4z_0z_2) \right)$$

- Projective action of  $SL_2(\mathbb{R})$  on quadruples of  $\mathbb{R}$ :

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \star (z_0 \ z_1 \ z_2 \ z_3) = \left( \frac{a z_0 + b}{c z_0 + d} \quad \frac{a z_1 + b}{c z_1 + d} \quad \frac{a z_2 + b}{c z_2 + d} \quad \frac{a z_3 + b}{c z_3 + d} \right)$$

$$I = \left( \underbrace{Z_0, Z_1 - 1, Z_2^{-1}}_P, Z_3 - \frac{(z_3 - z_0)(z_1 - z_2)}{(z_2 - z_3)(z_0 - z_1)} \right)$$

## 2 Scalings = diagonal representations of tori

### 2.1 Scalings in the plane

Scalings in the plane

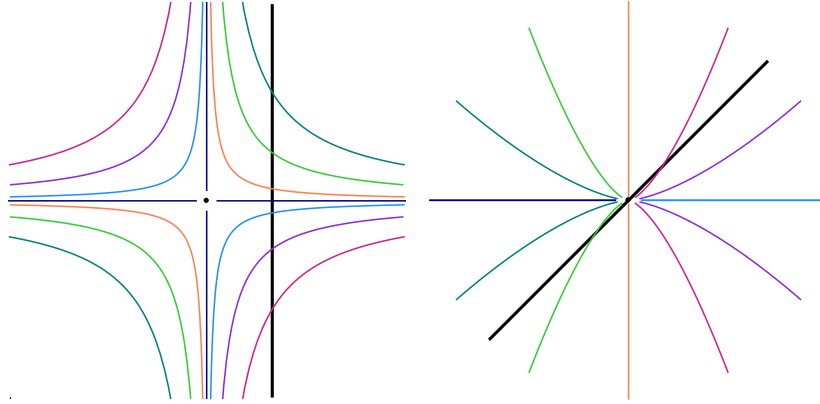
$$\rho: \mathbb{K}^* \rightarrow GL(2)$$

$$\lambda \mapsto \begin{pmatrix} \lambda^a & \cdot \\ \cdot & \lambda^b \end{pmatrix}$$

$$\star: \mathbb{K}^* \times \mathbb{K}^2 \rightarrow \mathbb{K}^2$$

$$(\lambda, (x, y)) \mapsto (\lambda^a x, \lambda^b y)$$

$$A = \begin{bmatrix} a & b \end{bmatrix}$$



$$A = [1 \quad -1]$$

$$A = [2 \quad 3]$$

Bezout:  $\alpha a - \beta b = 1$

$$P = (X^\alpha - Y^\beta) : (XY)^\infty$$

$$I = \left( X - \left( \frac{y^a}{x^b} \right)^\beta, Y - \left( \frac{y^a}{x^b} \right)^\alpha \right)$$

Hermite normal form

$$\underbrace{\begin{bmatrix} a & b \end{bmatrix}}_{\text{scaling}} \underbrace{\begin{bmatrix} \alpha & -b \\ -\beta & a \end{bmatrix}}_{\text{multiplier}} = \underbrace{\begin{bmatrix} 1 & 0 \end{bmatrix}}_{\text{Hermite form}} .$$

## 2.2 Parameter reduction in models

### Réduction par les symétries *de dilatation*

Modèle proie-prédateur

$$\begin{cases} \dot{n} = \left( (1 - \frac{n}{K})r - k\frac{p}{n+e} \right) n, \\ \dot{p} = s(1 - h\frac{p}{n})p. \end{cases}$$

Paramètres :  $r, s, e, h, K, k$

$$\begin{cases} \dot{n} = \left( 1 - \frac{n}{\mathfrak{k}} - \mathfrak{h}\frac{p}{n+1} \right) n, \\ \dot{p} = \mathfrak{s} \left( 1 - \frac{p}{n} \right) p. \end{cases}$$

Paramètres :  $\mathfrak{s}, \mathfrak{h}, \mathfrak{k}$

Symétrie:  $t \rightarrow \lambda^{-1}t, \quad r \rightarrow \lambda r, \quad h \rightarrow \nu h,$   
 $n \rightarrow \mu n, \quad s \rightarrow \lambda s, \quad K \rightarrow \mu K,$   
 $p \rightarrow \mu\nu^{-1}p, \quad e \rightarrow \mu e, \quad k \rightarrow \lambda\nu k$

Invariants :  $\mathfrak{t} = r t, \quad \mathfrak{n} = \frac{1}{e}n, \quad \mathfrak{p} = \frac{h}{e}p, \quad \mathfrak{s} = \frac{s}{r}, \quad \mathfrak{h} = \frac{k_2}{r h}, \quad \mathfrak{k} = \frac{k_1}{e}.$

Réécriture:  $t \mapsto \mathfrak{t}, \quad n \mapsto \mathfrak{n}, \quad p \mapsto \mathfrak{p}, \quad s \mapsto \mathfrak{s}, \quad k \mapsto \mathfrak{k}, \quad d \mapsto \mathfrak{d}, \quad r, h, K \mapsto 1.$

### Réduction par les symétries *de dilatation*

- Détermination des symétries *de dilatation*

Algèbre linéaire



- Calcul des invariants Algèbre linéaire
  - Réécriture en ces nouvelles variables Algèbre linéaire
  - Solutions à partir de celles du système réduit Algèbre linéaire
- sur les entiers.**

[HL12] E. Hubert and G. Labahn. Rational invariants of scalings from Hermite normal forms. In ISSAC 2012.

[HL13] E. Hubert & G. Labahn. Foundations of Computational Mathematics, 2013

[HL16] E. Hubert and G. Labahn. Mathematics of Computations, 2016.

## 3 Symmetrization of polynomial systems for algebraic groups

### 3.1 Finite groups

#### Symmetrization

$$f_1, \dots, f_m \in \mathbb{K}[z_1, \dots, z_n]$$

$\mathcal{V}(f_1, \dots, f_m)$  invariant :

$$f_1(z)=0, \dots, f_m(z)=0 \Rightarrow f_1(\lambda \star z)=0, \dots, f_m(\lambda \star z)=0, \forall \lambda \in \mathcal{G}$$

Or equivalently:

$$f_1(z)=0, \dots, f_m(z)=0 \Rightarrow \lambda \star f_1(z)=0, \dots, \lambda \star f_m(z)=0, \forall \lambda \in \mathcal{G}$$

Find  $p_1, \dots, p_\ell$  in  $\mathbb{K}[z]^\mathcal{G}$  s.t.  $\mathcal{V}(f_1, \dots, f_m) = \mathcal{V}(p_1, \dots, p_\ell)$

#### Case of a finite group $\mathcal{G}$

$$f \in \mathbb{K}[z]$$

$$g = |\mathcal{G}|$$

$$\text{Reynolds operator: } \frac{1}{g} \sum_{\lambda \in \mathcal{G}} \lambda \star f \in \mathbb{K}[z]^\mathcal{G}$$

For  $j = 1, \dots, g$  consider the element of  $\mathbb{K}[z]^\mathcal{G}$

$f^{(j)}$  = the  $j^{\text{th}}$  symmetric function in  $\{\lambda \star f \mid \lambda \in \mathcal{G}\}$ .

$$\prod_{\lambda \in \mathcal{G}} (\zeta - \lambda \star f) = \zeta^g - f^{(1)}(z) \zeta^{g-1} + \dots + (-1)^g f^{(g)}$$

Prop:  $(\forall \lambda \in \mathcal{G}, \lambda \star f(z) = 0) \Leftrightarrow (f^{(j)}(z) = 0, \forall 1 \leq j \leq g)$

Def:  $f^{(1)}, \dots, f^{(g)}$  are the *symmetrizations* of  $f$

## Symmetrization for a finite group $\mathcal{G}$

Thm: If  $\mathcal{V}(f_1, \dots, f_m)$  is invariant then

$$\mathcal{V}(f_i^{(j)} \mid 1 \leq i \leq m, 1 \leq j \leq g) = \mathcal{V}(f_1, \dots, f_m).$$

[Sturmfels 93]

For semi-algebraic set [Cimpric, Kulmann, Scheiderer 09]

What to expect for an algebraic group  $\mathcal{G}$

For  $f \in \mathbb{K}[z]$  and  $1 \leq j \leq e$

$$f^{(j)}(z_1, \dots, z_n) = p_j(r_1(z), \dots, r_k(z))$$

$$\mathbb{K}(z)^{\mathcal{G}} = \mathbb{K}(r_1, \dots, r_k)$$

## 3.2 Algebraic groups

### Symmetrization

$$f_1, \dots, f_m \in \mathbb{K}[z_1, \dots, z_n] \quad \begin{array}{l} \mathcal{G} \times \mathcal{Z} \rightarrow \mathcal{Z} \\ (\lambda, z) \mapsto \lambda \star z \end{array}$$

$$\mathcal{F} = \mathcal{V}(f_1, \dots, f_m) \text{ invariant : } z \in \mathcal{F} \Rightarrow \mathcal{O}_z \in \mathcal{F}$$

Pb1: Find  $F \subset \mathbb{K}[x]^{\mathcal{G}}$  s.t.  $\mathcal{V}(F) = \mathcal{V}(f_1, \dots, f_m)$

Pb2: Find  $F \subset \mathbb{K}[r_1, \dots, r_k]$  s.t.  $\mathcal{V}(F) \setminus \mathcal{V}(h) = \mathcal{V}(f_1, \dots, f_m) \setminus \mathcal{V}(h)$

where  $\mathbb{K}(r_1, \dots, r_k) = \mathbb{K}(z)^{\mathcal{G}}$

### Case of a section of degree 1

$\mathcal{P}$  a section of degree 1

$$I = (Z_1 - r_1(z), \dots, Z_n - r_n(z))$$

$$F = \{f_1(r_1, \dots, r_n), \dots, f_m(r_1, \dots, r_n)\}$$

And the syzgies on the  $r_i$  are given by  $P$

**Case of a section of degree e**

$$I \subset \mathbb{K}(z)[Z]$$

$$\dim_{\mathbb{K}(z)} \mathbb{K}(z)[Z] / I = e$$

Multiplication by  $f \in \mathbb{K}[Z]$

$$m_f : \mathbb{K}(z)\mathbb{K}(z)^{\mathcal{G}}[Z]/I \rightarrow \mathbb{K}(z)\mathbb{K}(z)^{\mathcal{G}}[Z]/I$$

$$\bar{g} \mapsto \overline{fg}$$

is a  $\mathbb{K}(z)$ -linear map.

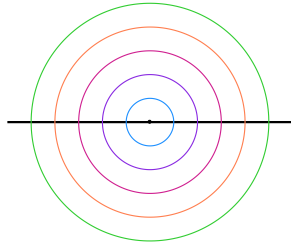
The Gröbner basis of  $I$  provides a basis for  $\mathbb{K}(z)^{\mathcal{G}}[Z]/I$  and the matrix for  $m_f$ . Its entries belong to  $\mathbb{K}[r_1, \dots, r_k]$ .

Characteristic polynomial:

$$f^{(j)} \in \mathbb{K}(z)^{\mathcal{G}}\mathbb{K}[r]$$

$$\zeta^e - f^{(1)}(zr)\zeta^{e-1} + \dots + (-1)^j f^{(j)}(zr)\zeta^{e-j} + \dots + (-1)^e f^{(e)}(zr)$$

**Example  $\mathcal{G} = \text{SO}_2$**



$$\lambda \star z = \begin{bmatrix} c & -s \\ s & c \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} \text{ with } c^2 + s^2 = 1$$

$$[1, Y] \text{ basis for } \mathbb{K}(z)[Z]/I \text{ where } I = \left( X, Y^2 - \underbrace{(x^2 + y^2)}_r \right)$$

$$f = \alpha 1 + \beta X + \gamma Y$$

$$\begin{matrix} 1f \equiv \alpha 1 + \gamma Y \pmod{I} \\ Yf \equiv \alpha Y + \gamma r \pmod{I} \end{matrix} \quad M_f = \begin{pmatrix} \alpha & \gamma r \\ \gamma & \alpha \end{pmatrix}$$

$$f^{(1)} = 2\alpha, \quad f^{(2)} = \alpha^2 - \gamma^2 r$$

**Symmetrization**

Thm: There is an invariant hypersurface  $\mathcal{W} = \mathcal{V}(h)$  s.t.

if  $\mathcal{F} = \mathcal{V}(f_1, \dots, f_m)$  is  $\mathcal{G}$ -invariant then

$$\mathcal{F} \setminus \mathcal{W} = \mathcal{V}\left( f_i^{(j)} \mid 1 \leq j \leq e, 1 \leq i \leq m \right) \setminus \mathcal{W}$$

Pf: Eigenvalues of  $m_f$  = evaluations of  $f$  at the roots of  $I$ .

If  $z \in \mathcal{Z} \setminus \mathcal{W}$  then  $\mathcal{V}(I_z) = \{z^{(1)}, \dots, z^{(e)}\} = \mathcal{O}_z \cap \mathcal{P}$

$$\prod_{j=1}^e (\zeta - f(z^{(j)})) = \zeta^e - f^{(1)}(z)\zeta^{e-1} + \dots + \dots + (-1)^e f^{(e)}(z)$$

$$(f(z^{(1)}) = 0, \dots, f(z^{(e)}) = 0) \Leftrightarrow (f^{(j)}(z) = 0, \forall 1 \leq j \leq e)$$

$\mathcal{F}$  invariant implies :

$$z \in \mathcal{F} \setminus \mathcal{W} \Leftrightarrow \mathcal{O}_z \cap \mathcal{P} = \{z^{(1)}, \dots, z^{(e)}\} \subset \mathcal{F} \setminus \mathcal{W}$$

Thanks

Merci

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