



National Highway Traffic Safety Administration NHTSA- PGA Filer Data Requirements based on NHTSA Supplemental Guide

This document provides technical guidance for persons importing commodities subject to NHTSA via the Automated Commercial Interface (ACE). The document is a product of NCBFAA, drafted in consultation with NHTSA. It is not intended to cover all specific scenarios. Please refer to the NHTSA Supplemental Guide to the CBP and Trade Automated Interface Requirements (CATAIR) for information on how to report. The document is not an official statement of the Federal government and does not create any requirements, rather it explains what information is input in accordance with the CBP pilots for NHTSA under CBP's National Customs Automation Program.

The National Highway Traffic Safety Administration (NHTSA) is an agency of the U.S. Department of Transportation (DOT). NHTSA's mission is to save lives, prevent injuries, and reduce traffic-related health care and other economic costs. The agency develops and enforces the Federal motor vehicle safety standards (FMVSS), which establish minimum safety performance requirements for motor vehicles and for certain items of motor vehicle equipment. To be lawfully manufactured for sale, offered for sale, sold, or introduced or delivered for introduction in interstate commerce, a motor vehicle or motor vehicle equipment manufactured after the date that an applicable FMVSS takes effect must comply with the standard and be covered by a manufacturer's certificate of compliance.

When filing a NHT certification statement the PGA records that are utilized are:

Description
Commercial description of the shipment
Government Agency Program Code (NHT)
Program Code (MVS) (REI) (TPE) (OEI) (OFF)
Product/Component level identifier (Always "P" Product)
Model, Manufacture Month and Year Identifier Qualifier and Number
VIN numbers (for automobiles)
Category Type (MVSTYP) (REITYP) (TPETYP) (OEITYP) (OFFTYP)
Category Code (different for each code listed above, see attachment)
Licenses, Permits, Certificate, other information (LPCO)
Certifying Individual (CI) (CN) (DFP) (FM) (IM) (OVM) (RD) (CB)
Importers Substantiation Signed Document (871) (872) (873) (874)(875) (946) (165) (958)
Travel Document Code (1) (2) (3) (4)
Surety information when Conformance bond is submitted



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Commercial Description: The commercial description of the product. For example, TOYOTA VEHICLES

Government Agency Code: NHT

Government Agency Program codes for NHT PGA Message Sets:

Government Agency Program Code	Description
MVS	Motor Vehicles
REI	Regulated Motor Vehicle Equipment items subject to FMVSS(Safety Standards)
TPE	Replacement Motor Vehicle items subject to FMVTPS-(Theft Prevention Standard)
OEI	Other Motor Vehicle Equipment – (Not subject to FMVSS)
OFF	1. Not manufactured primarily for public roads. 2. Equipment item is not a system, part or component of a motor vehicle

Product /Component Level Identifier: Indicate whether or not the information being provided relates to a product (P) or a component (C) of a product. NHT states to always use "P"

Trade or Brand Name, Model, Manufacture Year and identifying numbers

Trade Name / Brand Name	Description
Model	Code or Name of the product
Manufacture Month and Year*	Month & Year product was manufactured
Item Identity Number Qualifier	Whether you are using VIN, Serial Number or Engine Number
Item Identity Number	The actual number of the qualifier above

* NHTSA requires that the make of a motor vehicle, fabricating manufacturer and brand name be reported for the 13 items subject to the FMVSS (REI code) – See attached.



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<i>Additional Information Required for goods subject to FMVSS</i>	<i>Description</i>
Category Type Code	MVSTYP – Motor Vehicles Driven by Mechanical Power REITYP – Regulated equipment subject to FMVSS TPETYP – NHTSA Equipment subject to FMVTPS OEITYP – Other equipment OFFTYP – Vehicle not manufactured primarily for use on public roads
Category Code	MVS (1- 10) REI (1-13) TPE 1 OEI1 OFF1
Commodity Qualifier Code	V01 – Drive – LHD, RHD, or neither V06 – Model Year of the Vehicle
Commodity Characteristic Qualifier	L – Left Hand driver's side R – Right Hand driver's Side N – Vehicle does not have a side (Trailer or Motorcycle)

Licenses, Permits, Certificates or Other (LPCO)

<i>Licenses, Permits, Certificates, Other</i>	<i>Description</i>
LPCO Transaction Type	Single, Continuous, General
LPCO Type	NH0-Registered Importer NH2 – NHTSA Import Permission Letter NH3 – Vehicle Eligibility Number
LPCO Number	Number Assigned
LPCO Qualifier	Reported by these dates: Expiration/Effective/Signed/Received
LPCO Date	Date of qualifier above



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List of Entity Role codes applicable to NHTSA Message Sets:

Data Element	Code	Description
Entity Role Codes	CI	Certifying Individual
Entity Identification Code	TMC GMC	Identifies entity, DUNS, IRS, MID, etc. Tire Manufacturer - Mandatory Glazing Manufacturer - Mandatory
Entity Number		Number for Entity ID (If no number, you must enter Name and address of entity)

Certifying Individual:

- CI – Certifying Individual Mandatory for code 946
- CN – Consignee
- DFP – Owner of vehicle, equipment or engine. Mandatory for Box 2B and 5
- FM – Fabricating Manufacturer – Party that assembles the vehicle or makes an item of equipment. It is mandatory for 13 equipment items subject to FMVSS.
- IM – Importer
- OVM – Original Vehicle Manufacturer – Mandatory for Box 7, if an OVM is importing. Otherwise A NHTSA permission letter is needed
- RD – Retailer/Distributor – Party distributing or offering for retail sale in the US (often Consignee)
- CB – Customs Broker – Agent, Representative who deals with Customs on behalf of the Importer or Exporter *

**It is NHTSA's position that a Customs broker with power of attorney to act on behalf of the importer who is knowledgeable about the importer's vehicle or equipment item and is willing to personally take responsibility for filing the HS-7 declaration certifying to the government the lawful basis for the commodity's importation may do so.*

Certifying Individual Point of Contact: Name, Address, Phone Number and Email



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Importers Substantiating Documents and Conformance Declarations and Certifications

Substantiating Documents	Description
Importers Signed Document or Confirmation letter	Y – Indicates the importer has the document needed to import the product under agency jurisdiction. Required for all entries.
Document Identifier	871 – NHTSA Importer's Statement 872 – Signed Manufacturer's Compliance Letter (Canadian Vehicle for Personal Use). 873 – Copy of Contract with RI 874 – Official Orders 875 - Incomplete Vehicle Document 946 – DOT HS-7 Declaration Form 165 – NHTSA HS-474 Conformance Bond 958 – Motor Vehicle Equipment Manufacturer's written statement
Conformance Declaration	The Box checked on HS-7
Entity Role Code	Entity Making Declaration
Declaration Code	Indicates conformance – Only a positive NH1 is accepted*
Declaration Certification	Y – Certifies data or signature is on file
Date of Signature	Date HS-7 was signed
Invoice Number	Invoice number of Supplier

**I understand that the information on the NHTSA HS-7 Declaration form is required by 49 USC Chapters 301, 325 , and 331 and that failure to provide the required information will result in the refusal of entry of the vehicle(s) or equipment in the US. I certify that the declaration I have made and the information I have provided are correct, and that all required attachments are appended to this form. I am aware that any person knowingly making a false declaration on the NHTSA HS-7 Declaration form is subject to a fine of not more than \$10,000 or imprisonment for not more than 5 years or both under 18 USC 1001.*



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Where an individual is submitting a claim

<i>Travel Document Data</i>	<i>Description</i>
Travel Document Type	1 – Passport (NHTSA only requires Passport)
Document Nationality	ISO Code under whose authority document was issued
Document Identifier	Characters used to identify document

For Entries requiring a Conformance Bond

<i>Bond Data</i>	<i>Description</i>
DOT Surety Code	3 digit Surety Code
Bond Serial Number	Bond tracking number
Bond Qualifier	1 – Single 2 - Continuous
Bond Amount	Bond value in whole dollars

Disclaimer: Only for DT1 – DT2 can not be disclaimed

Forms required with Entry

<i>Form Name</i>	<i>Required</i>
Box 2B - Signed Manufacturer's Compliance Letter	Y
Box 3 - DOT Conformance Bond Form HS-474	Y
Boxes 6 & 12 - Official Orders	Y
Box 9 Incomplete Vehicle Document	Y
Box 9 Motor Vehicle Equipment Manufacturer's Written Statement	Y



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DIS forms required:

<http://www.cbp.gov/sites/default/files/documents/ACE%20PGA%20Forms%20List%20-%20September%202015.pdf>

Cargo Release Status Notification - Pending

HTS Codes

<http://www.cbp.gov/sites/default/files/documents/ACE%20HTS%20to%20PGA%20Reference%20Guide.pdf>

For questions about NHTSA, please contact:

NHTSA Office of Vehicle Safety Compliance, Import and Certification Division

(202) 366-5291 NHTSA.ACE@dot.gov 翻, or

Clint Lindsay – Clint.Lindsay@dot.gov

Coleman Sachs – Coleman.Sachs@dot.gov

NHTSA is responsible for implementing and enforcing the National Traffic and Motor Vehicle Safety Act of 1966, as amended.

NHTSA Supplemental Guide:

The PGA Message Set chapter/implementation guide related to NHTSA can be found at:

<http://www.cbp.gov/document/guidance/national-highway-traffic-safety-administration-nhtsa-pga-message-set-manual>

The CATAIR chapters and appendices can be found on CBP.gov at:

<http://www.cbp.gov/trade/ace/catair>

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