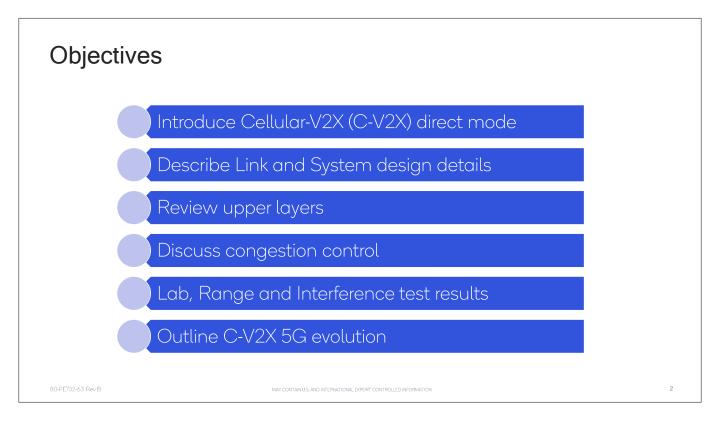
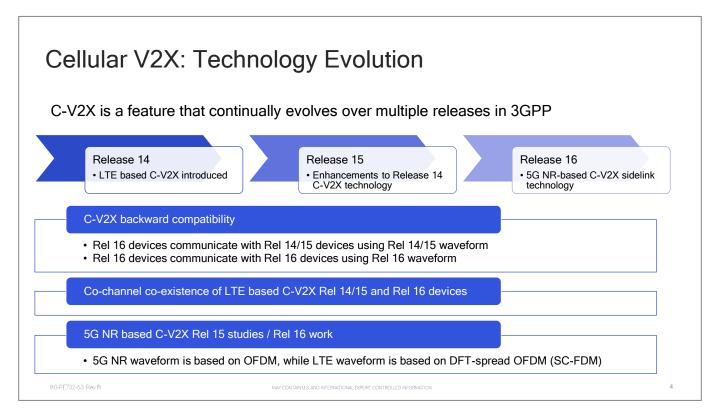
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| Assumed backgro | und of reader | |
|--|--|---|
| Required | | |
| Working knowledge o | f LTE and LTE Advanced | _ |
| Suggested | | |
| Knowledge of Wi-Fi | | |
| | | |
| | | |
| | | |
| | | |
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3GPP Standards:

- LTE based Rel-14 C-V2X Completed in Q1 2017
- LTE based Rel-15 C-V2X Completed June 2018
- Next set of specs NR-based Rel-16 C-V2X sidelink Slated for completion in Q4 2019

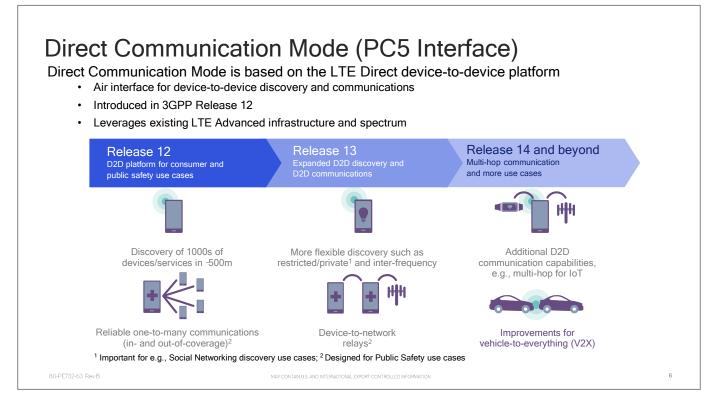


C-V2X

Establishes the foundation for safety use cases and a continued 5G NR C-V2X evolution for future autonomous vehicles

| - 60 © | Release 14 C-V2X completed in 2017 Broad industry support – 5GAA Global trials started in 2017 | | |
|---------------------|---|--|--|
| | Applicable in current (5.9 GHz) and future (e.g., 6 to 7 GHz) ITS bands | | |
| N | No dependency on Network or | | |

operator SIM for Direct Mode



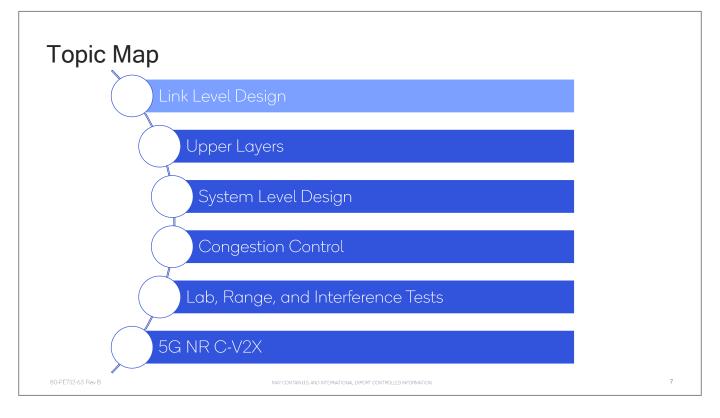
LTE Direct is a brand new air interface for device-to-device discovery and communications that was introduced in Release 12 of the 3GPP standard. LTE Direct enables operator-managed D2D services leveraging existing LTE Advanced infrastructure and spectrum.

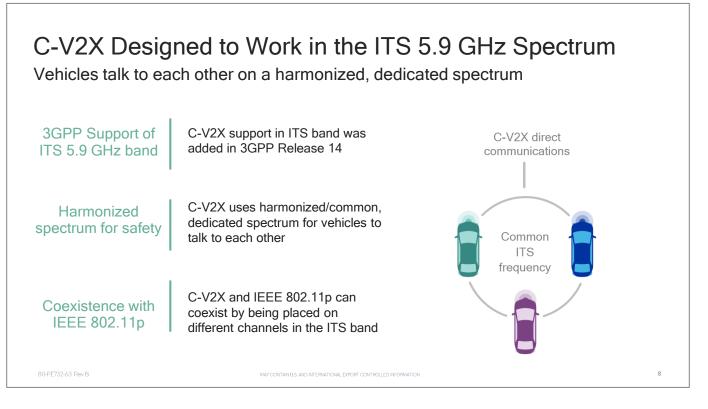
Release 12 established the framework for this new technology enabling D2D discovery of 1000s of devices/services in approx. ½ a kilometer. This is empowering new proximity services. R12 also introduced one-to-many D2D communications designed for public safety use cases.

Release 13 and beyond are evolving and expanding the LTE Direct Platform, commonly known as ProSe.

• Enhancing the D2D discovery and communication capabilities, including multi-hop communication to extend the reach of the network.

Release 14 set the based for another important focus area for LTE Direct by expanding to new use cases with one key example being vehicle-to-vehicle and vehicle-to-infrastructure communications to increase safety and reduce congestion on the roads, which we will talk about more in the next few slides (slide 9 and 10).

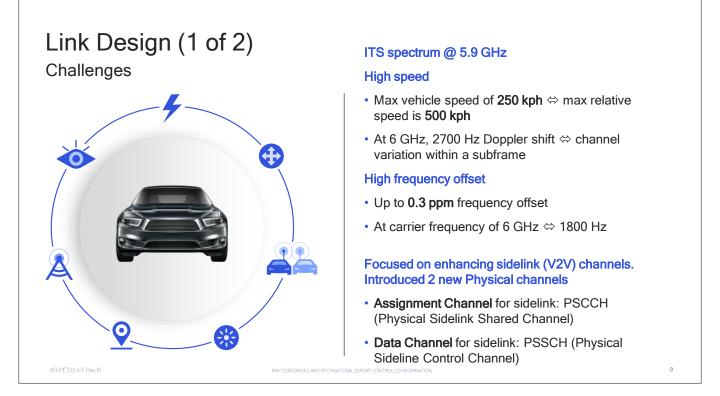




C-V2X in the direct mode operates in what is known as the ITS band in 5.9 GHz spectrum.

The support for 5.9 GHz band was introduced in 3GPP Release 14. It ensures that there is communication between all of the vehicles in this particular band. This band is also used by what is referred to as 802.11p or DSRC.

If C-V2X and 802.11p are on adjacent or near-adjacent channels, given strict outof-band emission limits, coexistence is possible. This is the basis behind the Dual Mode RSU.

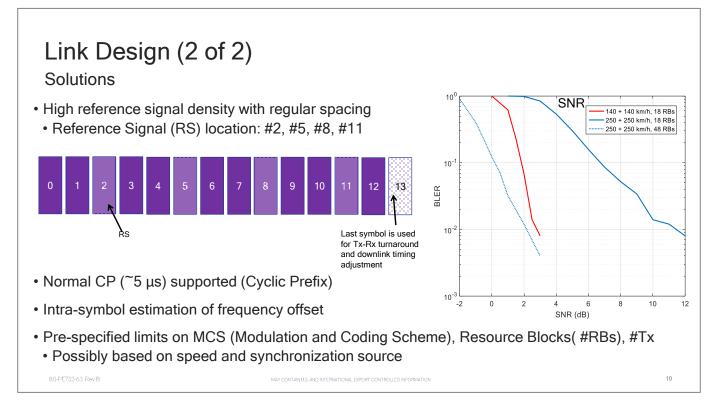


5.9 GHz spectrum is a high frequency spectrum and replete with challenges in high speed scenarios.

The LTE bands are deployed in various frequency bands and highest being around 2.5 GHz. There were some challenges that needed to be addressed when LTE technology is operated in 5.9 GHz band.

- With relative speeds of 500 Km/hr at 6 GHz, there is a very high Doppler shift of 2700 Hz leading to channel variation even within a subframe.
- There is also very high frequency offset of up to 0.3 ppm translating to 1800 Hz at 6 GHz.
- These changes in frequency at high speed situations impacts the way channel estimation can be done reliably.

3GPP Release 14 has introduced some advanced Link Layer enhancements to address these challenges in all scenarios.



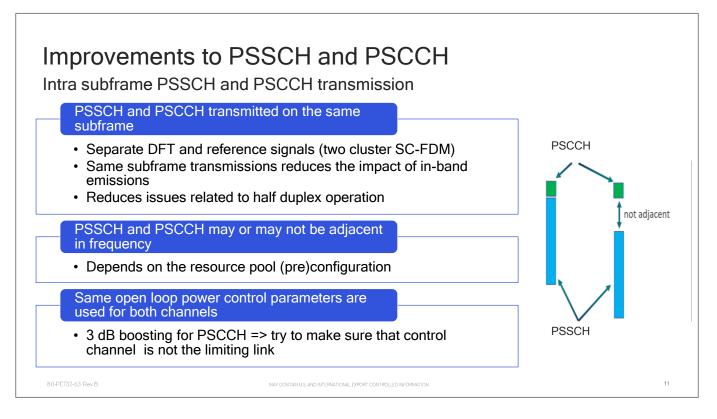
In LTE, a system frame of 10 ms is comprised of ten 1 ms subframes. In each subframe, there are 14 symbols where data and control signals are transmitted.

In LTE, 2 of these symbols are used as reference signals for channel estimation. However, given the challenges that are more typical of high speed vehicular scenarios, it was determined that addition of 2 extra reference signals significantly increases the reliability of channel estimation. These reference signals at slot 2, 5, 8 and 11.

C-V2X operates with a normal cyclic prefix of about 5 μ s.

Another way to address the challenges of high frequency and high speeds is providing a dynamic way of using Modulation and Coding scheme (MCS) and the number of Resource blocks. By increasing the number of resource blocks and using lower MCS, for much high speed situations, the signal-to-noise ratio (SNR) can be significantly reduced at which we have the standard 10% BLER, leading to much improved performance.

Another way to analyze is that for relatively lower speeds, lesser number of resource blocks are sufficient to give the same performance. Thus by adjusting the MCS and number of resource blocks in varying conditions, optimal performance is guaranteed.



3GPP Release 14 also introduced two new Physical channels for sidelink communication, namely the Physical sidelink shared channel (PSSCH) and Physical sideline control channel (PSCCH). As the name suggests, PSSCH carries data and PSCCH carries control information for decoding the data channel.

PSSCH and PSCCH are transmitted in the same subframe, unlike in LTE, where the shared channel is transmitted a few subframes after the control channel is transmitted. 3GPP spec also allows for adjacent and non-adjacent PSCCH and PSSCH transmission, in the frequency domain.

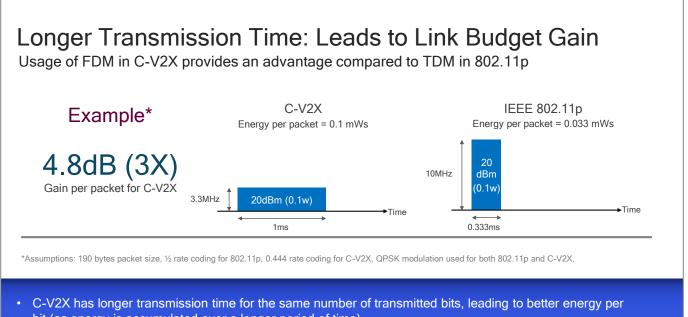
PSCCH

- · One PSCCH transmitted for each PSSCH on the same subframe
- No combining of PSCCH retransmission
- Number of RBs = 2
- Blind detection of cyclic shift to improve PSCCH to PSCCH interference

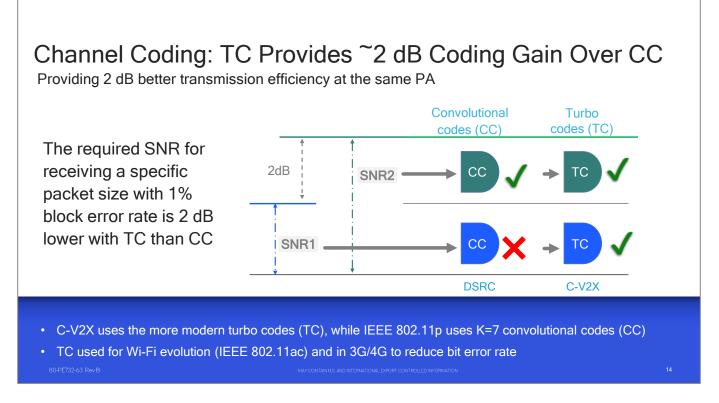
PSSCH

- Max number of transmissions = 2
- RV ID sequence for HARQ transmissions are given by 0, 2
- Maximum distance between initial transmission and HARQ retransmission is 15
- Various phy parameters are a function of PSCCH CRC

C-V2X Rel-14 has Significantly Better Link Budget Than 802.11p^{*} Leading to longer range (~upto 2X range)-or more reliable performance at the same range Transmission time Energy per bit is Longer transmit time leads to accumulated over a longer better energy per bit period of time for C-V2X upto 2X Longer range Coding gain from turbo codes and HARQ (Hybrid Automatic Channel coding Repeat Request) Gains from turbo coding and retransmission retransmission lead to longer range 80-PE732-63 Rev B 12



bit (as energy is accumulated over a longer period of time)FDM transmission has been adopted as an efficient mode of packet transmission in 4G cellular systems



C-V2X with Turbo codes is designed to facilitate decoding capability even at lower SNR (SNR1) whereas for DSRC with convolutional codes requires higher SNR (SNR2) for successful decode.

Rel-14 C-V2X vs. (DSRC) (802.11p)

Technology Comparison - Phy Layer

| Parameters | DSRC (802.11p) | R14 C-V2X | | | |
|-------------------------|---|--|--|--|--|
| Link Level | | | | | |
| Reference Signal Design | Advanced channel estimation needed at high speeds | Nominal channel estimation sufficient at high speeds | | | |
| Multiplexing | TDM only | TDM/FDM | | | |
| Rx Diversity | Not mandated | Yes | | | |
| HARQ | No | Yes | | | |
| Coding | Convolutional | Turbo | | | |
| Modulation Scheme | OFDM | SC-FDM | | | |

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Improved Reliability at Higher Vehicle Speeds Disabled vehicle after blind curve use case example Icy road condition Stopping distance estimation¹ (Driver reaction time + braking distance) 0mph 500 C-V2X 802.11p 38 mph . 28 mph 63 mph Ice 400 Stopping distance (m) 46 mph 300 38 mph Normal road condition 28 mph 200 0mph Normal C-V2X 100 (107 m) C-V2X 802.11p DSRC 63 mph 46 mph (60 m) 0 20 60 80 40 Velocity (mph) 1. "Consistent with CAMP Deceleration Model and AASHTO "green book 16

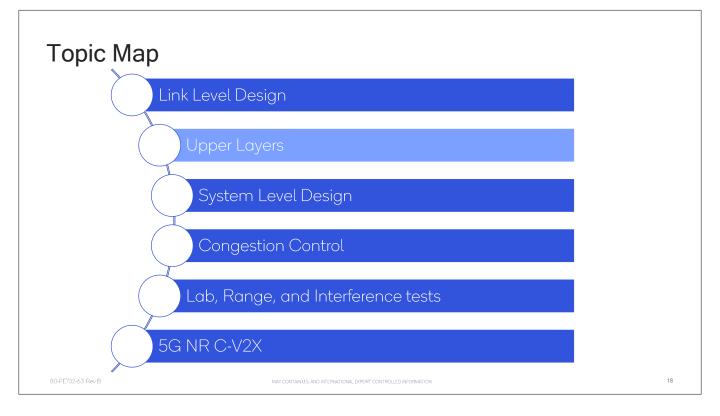
The intent of these simulation plots is to signify the advantage of vehicles operating with C-V2X compared with DSRC in real life conditions. The plots show that C-V2X can operate at higher speeds in icy road and normal road conditions to reliably bring moving vehicle to a complete stop and avoid collision with a stalled vehicle in their path.

Improved Reliability at Higher Speeds and Longer Ranges Do not pass warning (DNPW) use case example C-V2X Required passing alert distance (m) vs. speed (mph)¹ 43 mph 1000 43 mph 800 443m 43 mph 600 802.11p C-V2X (443 m) 400 28 mph 28 mph DSRC 200 (240 m) 28 mph 80 20 40 60 240 m

1. Calculations based on <u>AASHTO "green book"</u>

The advantage of C-V2X in a "Do not pass warning" scenario is also evident from the fact that vehicles can operate at higher speeds and still get the messages reliably at larger inter vehicle distance, compared to DSRC, and thus safely make a vehicle pass maneuver.

Passing Alert Distance (m) — DSRC range (m) — C-V2X Range (m)



PC5 Operational Details in Mode 4 (1 of 2) **UE Autonomous Mode** UE autonomous mode: Mode applicability In or Out-of-Coverage · Independent of network connectivity: • Out-of-Coverage - including when in 2/3G MNO Limited Service State RSU In-Coverage Work in both IDLE or CONNECTED state Methods for UE configuration LTE • 3 possible ways for UE configuration: • Pre-configuration in ME (no need for UICC (Universal Integrated Circuit Card)) · Configuration stored in UICC configured · Provisioning from Network (via OMA-DM (Open Mobile Alliance - Device bands Management)) MNO Configuration parameters · Allowed PLMNs; and whether OoC allowed 2G/3G · Radio parameters with associated GeoArea Mapping of PSID/ITS-AID to L2 ID (Layer 2 ID) ProSe Per-Packet Priority (PPPP) to Packet Delay Budget (PDB) mapping PPPP and other parameters are being defined in SAE International J3161/1 (SAE International Standards for C-V2X) 19

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PC5 Operational Details in Mode 4 (2 of 2) UE Autonomous Mode

Band/Resources used

- C-V2X band defined in TS 36.101
- 5GAA Petition for waiver submitted for channel allocation

Timing/Synchronization

· GNSS (Global Navigation Satellite system) based

Resource selection/scheduling

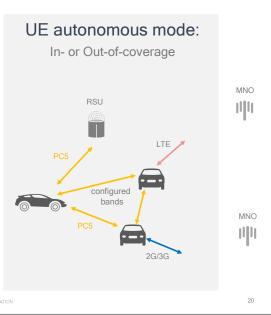
- · Distributed resources management
- · UE autonomous selection based on sensing
- Distributed Congestion Control (DCC)

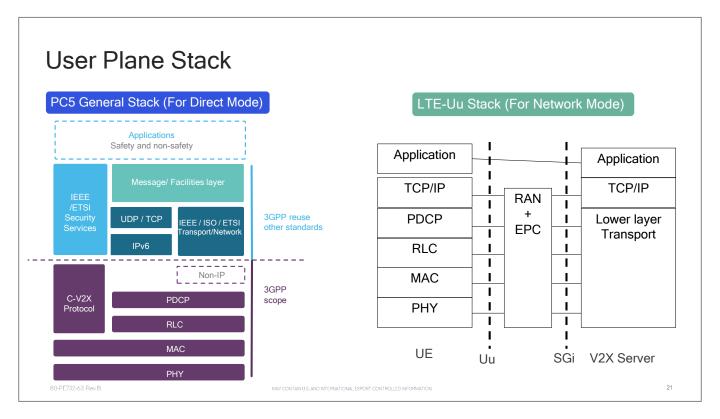
Security/Certification/Privacy

- Privacy is protected PC5 identifiers can change together with user IDs
- No bearer level security over PC5 Rely on upper layer security
- · Certification stored in the UE, or provisioned out-of-band
- Security/certification/privacy considerations are therefore the same as with DSRC

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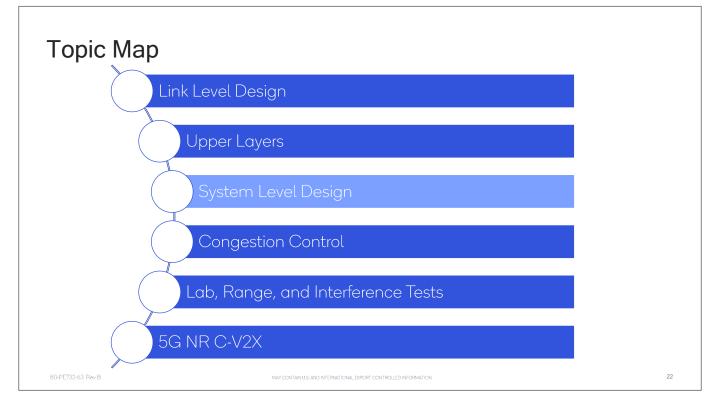


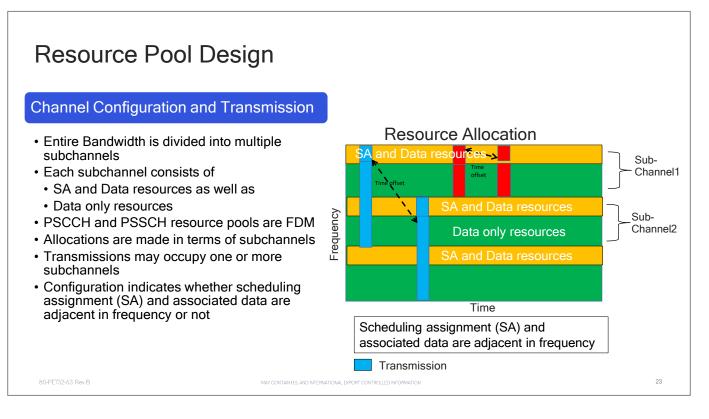


C-V2X device to device communication on PC5 interface, essentially relies on the 3GPP Release 14 defined lower layers commonly referred as PHY, MAC, RLC, PDCP for data transmission.

It also reuses the upper layer stacks from IEEE or ETSI (for US and EU regions respectively).

For comparison purposes, the similar protocol layers for the LTE Uu operation are also shown.





The entire C-V2X bandwidth is divided into multiple subchannels (subchannel is a group of multiple resource blocks) and each subchannel consists of schedule assignment (SA) and data resources.

SA convey the resources that can be used to provide the control information or PSCCH. There are also resources set aside for data transmission which is PSSCH. PSSCH and PSCCH can be sent on single or multiple subchannels per the pre-configuration. PSCCH occupies 2 RBs (resource blocks) and remaining RBs in that group of subchannels is used for PSSCH.

As explained earlier, the scheduling assignment and associated data may be adjacent in frequency or they may not be adjacent in frequency. The above diagram is an example of adjacent allocation.

C-V2X also supports HARQ re-transmissions which allows the same transmission to be repeated at time offset either on same frequency resources or different resources to convey the same data as needed.

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C-V2V: Transmission Modes

Default is Mode 4

Mode 4: Out-of-coverage

- Autonomous resource selection (preferred option)
- Uses sensing with semi-persistent transmission ⇔ frequency domain listen before Talk (LBT)
 - Semi-persistent transmission allows one to take advantage of semi-periodic traffic arrival
 - · Uses past interference patterns to predict the future
- Random selection/energy based selection allowed for one shot transmissions
- Sensing \Leftrightarrow combination of energy sensing, PSCCH decoding, Priority information
 - Energy sensing ⇔ Rank resources according to energy received and pick low energy resource
 - PSCCH decoding Avoid resources for whom control is decoded and received energy is above a threshold
 - Priority \Leftrightarrow Avoid resources that are being used for higher priority packet transmission

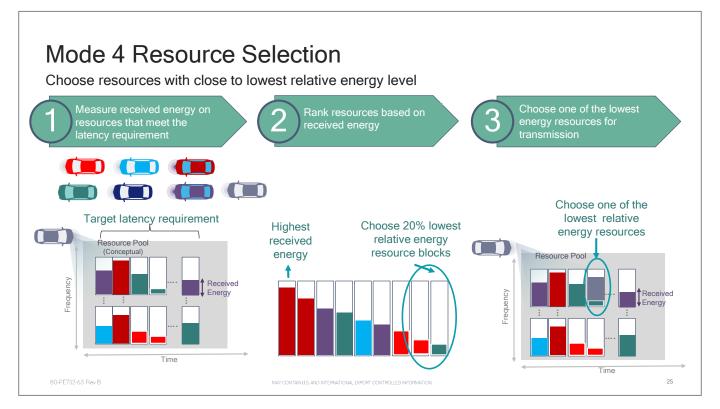
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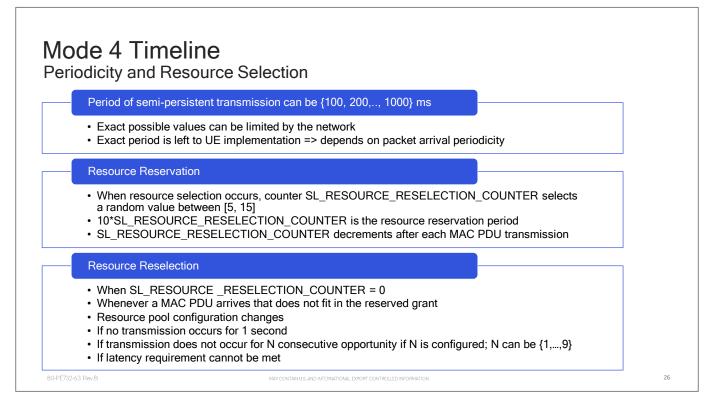
Autonomous resource selection is a method for channel sensing with semipersistent transmission. It is essentially that the frequency domain listen before talk.

With semi-persistent transmission, vehicles can predict in the future what resources the adjoining UEs are going to be using and schedule transmissions based on that.

Sensing is a multistep process involving a combination of energy sensing, PSCCH decoding, and priority information. It can allow for resources to be allocated in a SPS manner or allow for single shot event driven messages to also be sent on best resources.



Resource selection measures the RSSI energy on resources available, sorts them in descending order per energy levels. It then chooses the lowest 20% energy resources and randomly picks resources from these for transmission.



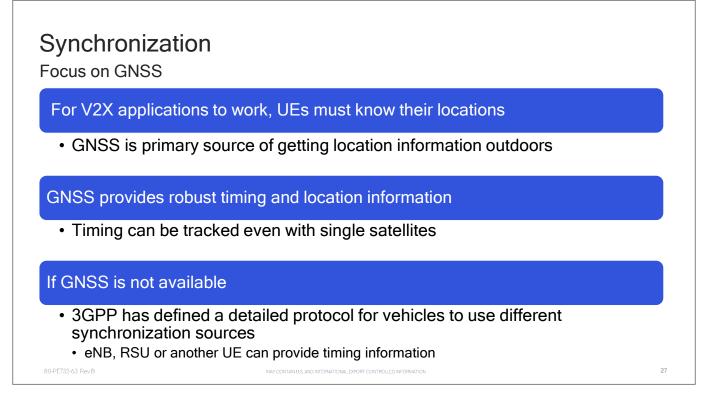
SL_RESOURCE_RESELECTION_COUNTER is decremented after every MAC PDU transmission

If *SL_RESOURCE_RESELECTION_COUNTER* goes to zero then with probResourceKeep the resource is not reselected

- *SL_RESOURCE_RESELECTION_COUNTER* is again randomly selected between [5, 15]
- *probResourceKeep* can be one of the following values {0, 0.2, 0.4, 0.6, 0.8} and is calculated when the counter value is 1

Else after 10**SL_RESOURCE_RESELECTION_COUNTER* opportunities resource is given up

Reselection occurs only when a MAC PDU arrives



C-V2X is a synchronous system requiring the precise timing information for its operation. The most precise and reliable timing source is GNSS, although specs allow for other sources as timing information as well, similar to eNB, road side units, etc.

DFN (Direct Frame Number) = Floor (0.1*(Tcurrent -Tref)) mod 1024

SubframeNumber = Floor (Tcurrent -Tref) mod 10

- Tcurrent is the current UTC time that obtained from GNSS. This value is expressed in milliseconds;
- **Tref** is the reference UTC time 00:00:00 on Gregorian calendar date 1 January, 1900 (midnight between Thursday, December 31, 1899 and Friday, January 1, 1900). This value is expressed in milliseconds

Rel-14 C-V2V vs. (DSRC) 802.11p

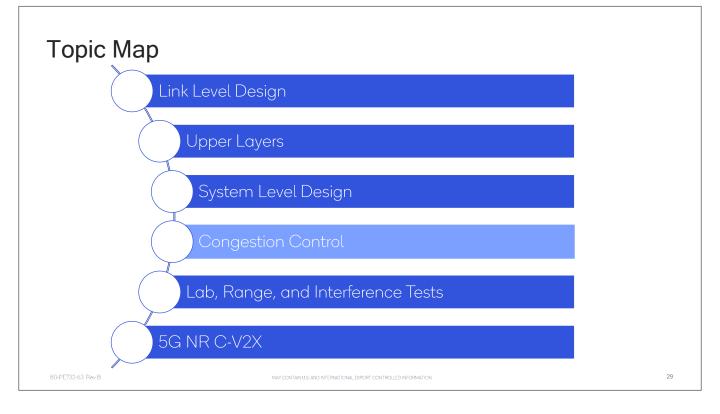
Technology Comparison - MAC Layer

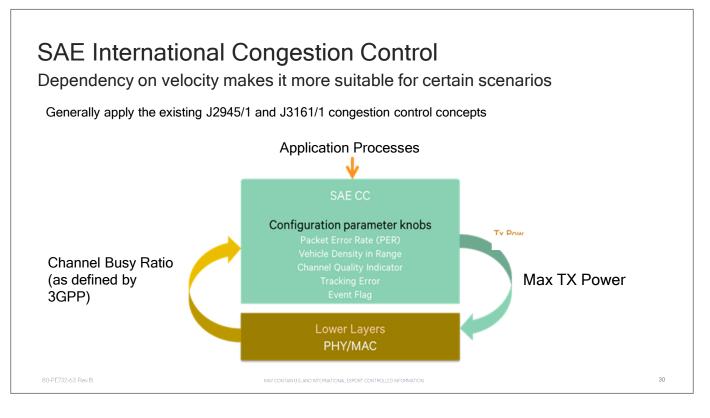
| Synchronization Asynchronous Synchronous |
|--|
| Synchronization Asynchronous Synchronous |
| |
| Access mechanism Time domain LBT Time/Frequency domain LBT Chooses 'good' enough resource; overhead Time/Frequency domain LBT Semi-persistent transmission with choosing close to 'best' resources |

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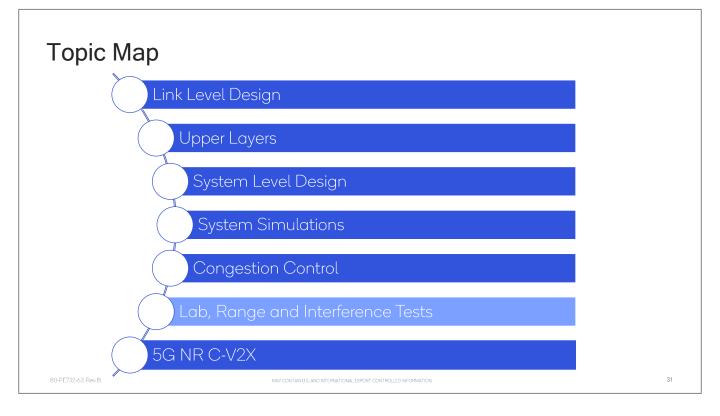
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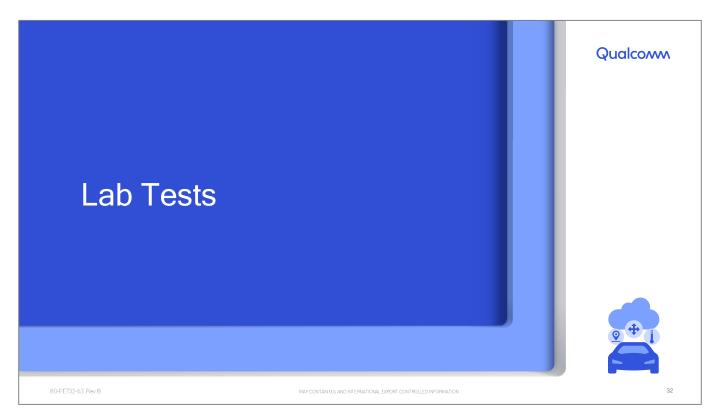


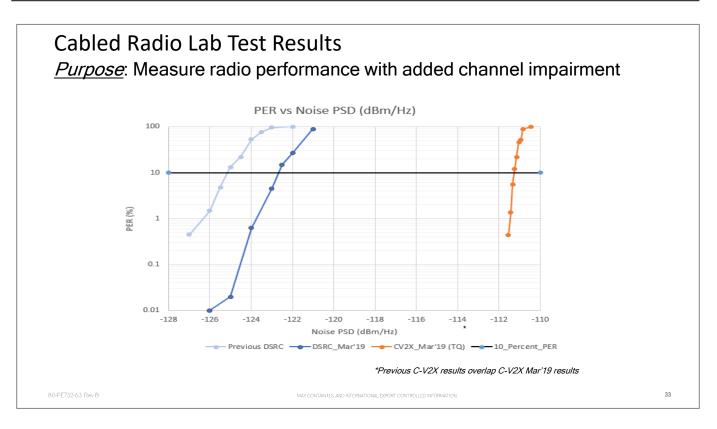


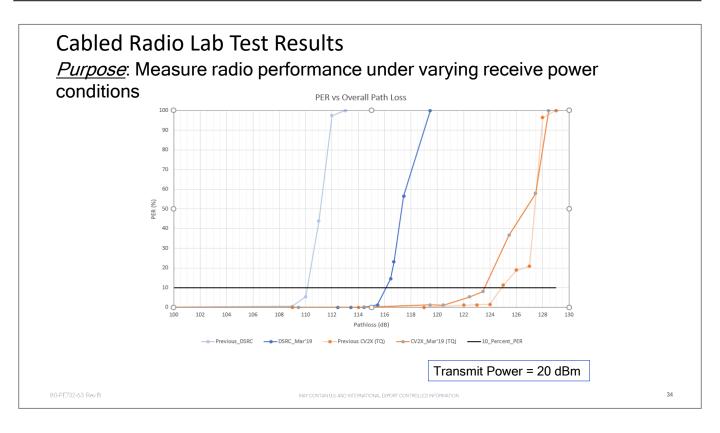
Channel Busy Ratio (CBR) is the fraction of subframes for which RSSI exceeds a predetermined threshold. The measurement is taken over a sliding window that is 100 subframes wide.

SAE International (Society of Automotive engineers) congestion control also utilizes the same CBR parameter for the evaluation of congestion. However it has its own mechanisms in upper layers by which it restricts the vehicles transmission.







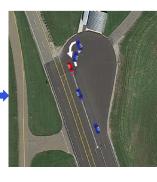




Test track and configurations

Track: Fowlerville Proving Ground, Road A (straight-away 1350m



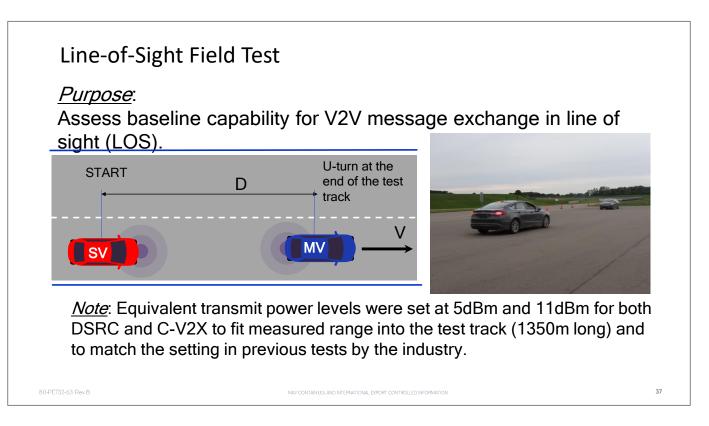


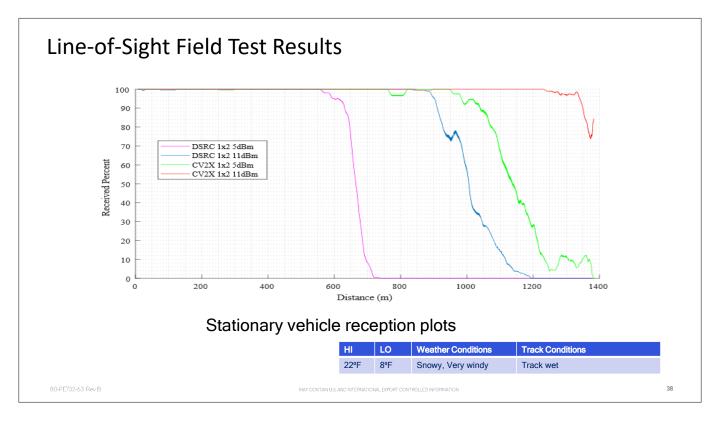
| Parameter ¹ | DSRC | C-V2X |
|---|-----------------------------------|------------------------|
| Vehicle | Fusion (w/o moon roof) | Fusion (w/o moon roof) |
| Modulation and coding | QPSK, 1/2 | MCS5 (QPSK) |
| HARQ | Not available | Yes |
| Channel | CH184 (5,920 MHz) ² | CH184 (5,920 MHz) |
| Bandwidth (message) | 10 MHz | 10 MHz |
| Packet size | 193B | 193B |
| Message frequency | 10 Hz | 10 Hz |
| Antenna ³ | ECOM6-5500 (6dBi) | ECOM6-5500 (6dBi) |
| Diversity | 1Tx, 2Rx | 1Tx, 2Rx |
| Equivalent Tx Power (with attenuation) ⁴ | 5 & 11 dBm | 5 & 11 dBm |

¹ Selected parameters include standard options. Proprietary options were not considered. ² We used CH184 to avoid any impact of the existing UNII-3 devices operating near the test track that we don't necessarily have control over.

³ Antennas were mounted 24-in apart in the middle of the roof: driver side Primary (Tx), passenger side Secondary.

⁴ Equivalent Tx power is the OBU total Tx power out minus attenuation on each RF antenna cable. Tx power was 21 dBm and the total attenuation was 10dB (on both Rx ends combined) resulting in 11dBm equivalent Tx power. Equivalent transmit power was set at 11dBm for both DSRC and C-V2X to fit measured range into the test track and to match the setting in previous tests by the industry.

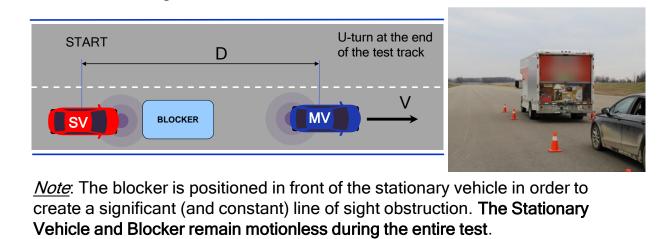




5GAA Shadowing Test

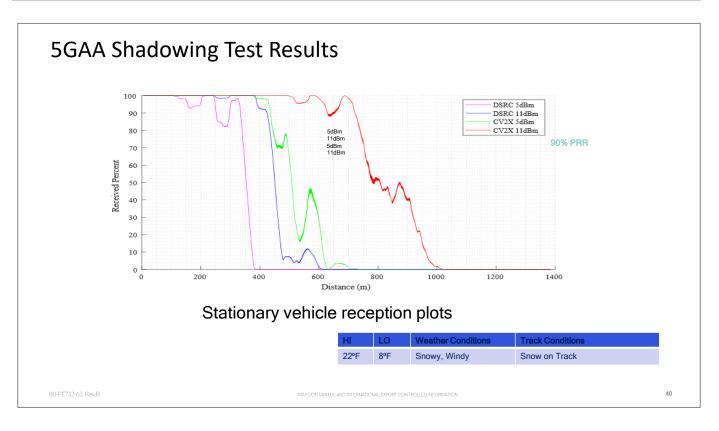
Purpose:

Assess capability for V2V message exchange in non-line of sight (NLOS) scenario with significant obstruction.

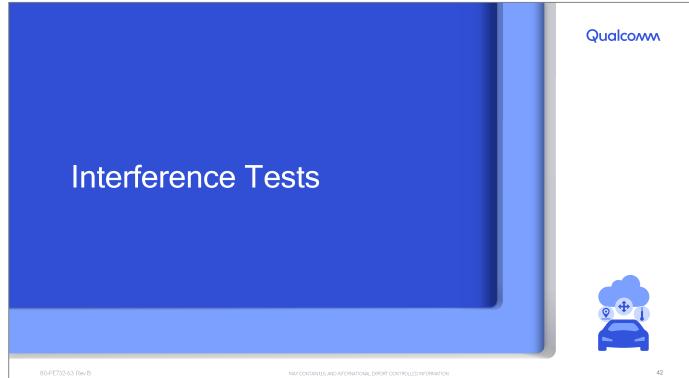


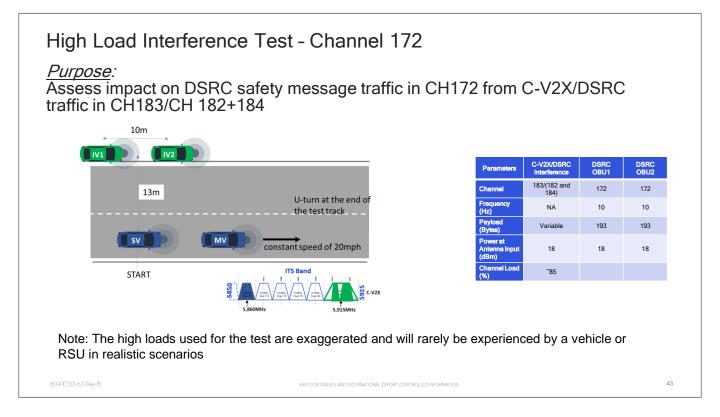
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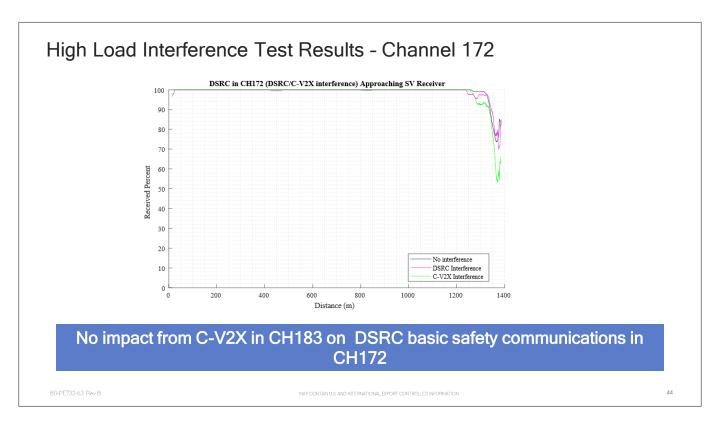
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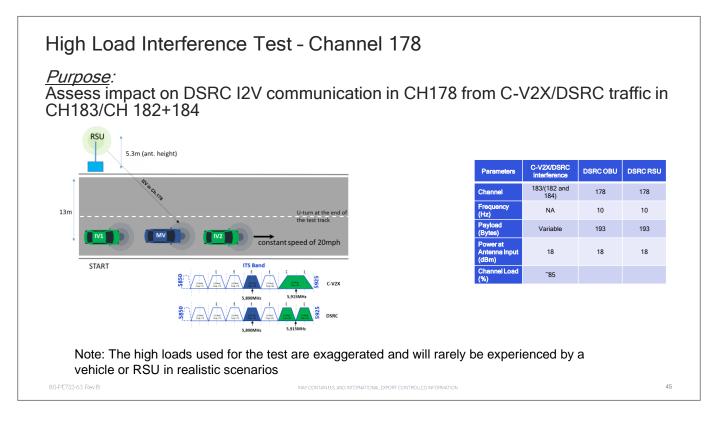


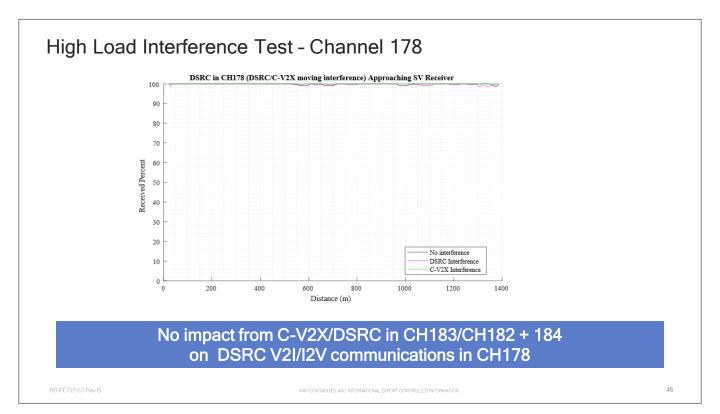
| Technology Benchmark Summary | | |
|--|--|--------------|
| Congestion | Lab Cabled Congestion Control | Pass |
| Reliability | Lab Cabled Tx and Rx Tests | C-V2X better |
| | Field Line-of-Sight (LOS) Range Tests | C-V2X better |
| | Field Non-Line-of-Sight (NLOS) Range Tests | C-V2X better |
| Interference | Lab Cabled Test with Simulated Co-channel Interference | C-V2X better |
| | Lab Cabled Near-Far Test | Pass |
| | Field Co-existence with Wi-Fi 80 MHz Bandwidth in UNII-3 | C-V2X better |
| | Field Co-existing of C-V2X with Adjacent DSRC Carrier | Pass |
| C-V2X radio technology consistently outperforms DSRC. | | |
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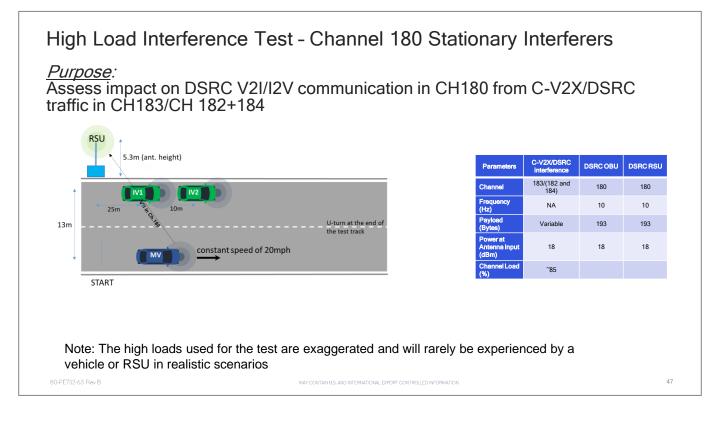


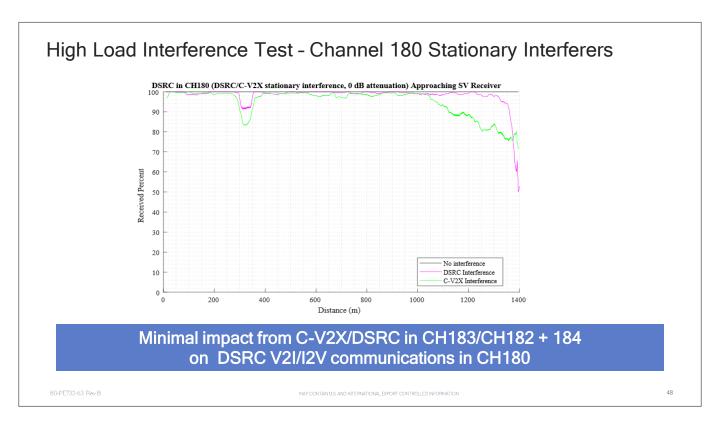


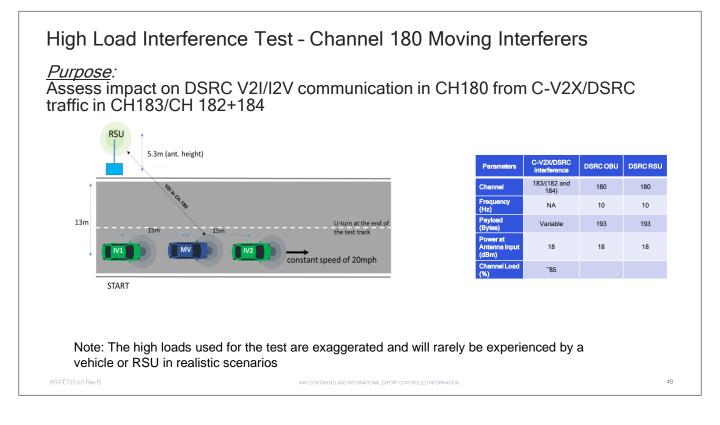


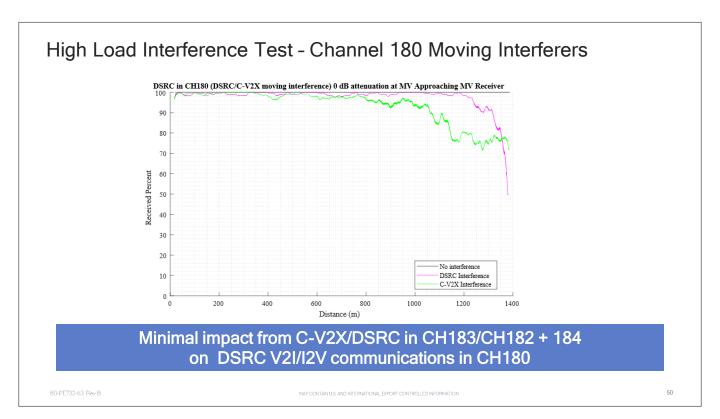


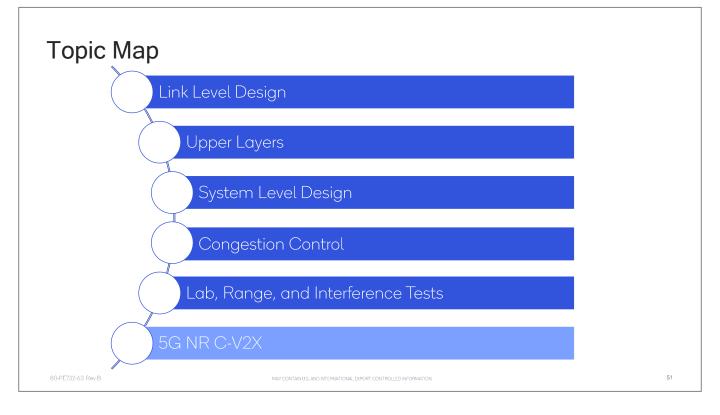


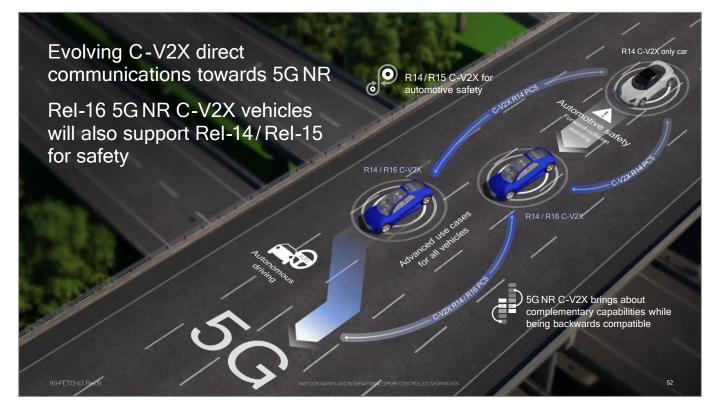






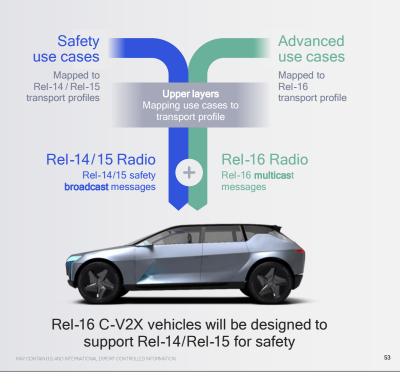


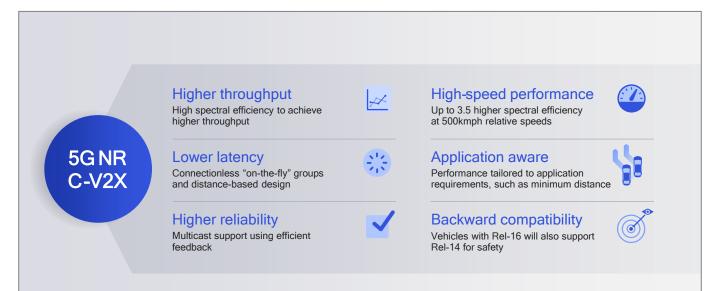




5G NR C-V2X is backward compatible at upper layers

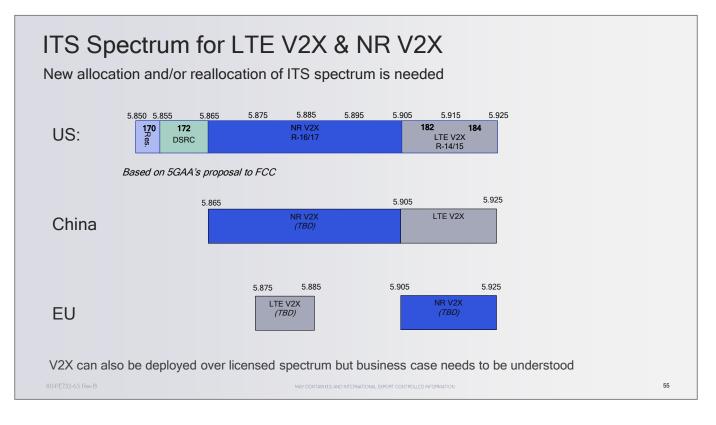
By facilitating coexistence of Rel16 with previous releases





Resulting in a 5G NR C-V2X design that addresses tomorrow's vehicle use case requirements

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5G NR C-V2X supports advanced use cases



Increased situational awareness

Sharing of vehicle-specific info with other vehicles and road infrastructure (e.g. door open warning)



Sensor sharing

Sharing of sensor data, e.g., vehicle's perception, including road world model



Coordinated driving/ intention sharing

Exchanging intention and sensor data for more predictable, coordinated autonomous driving



Real-time infrastructure updates

Real-time sharing of 3D HD map and other information between vehicles and infrastructure

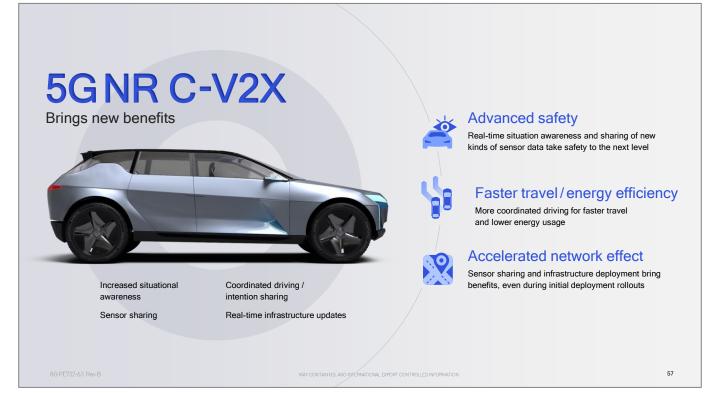
Higher throughput

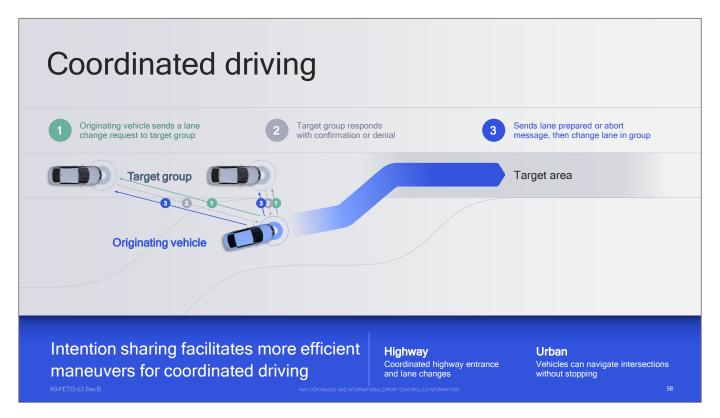
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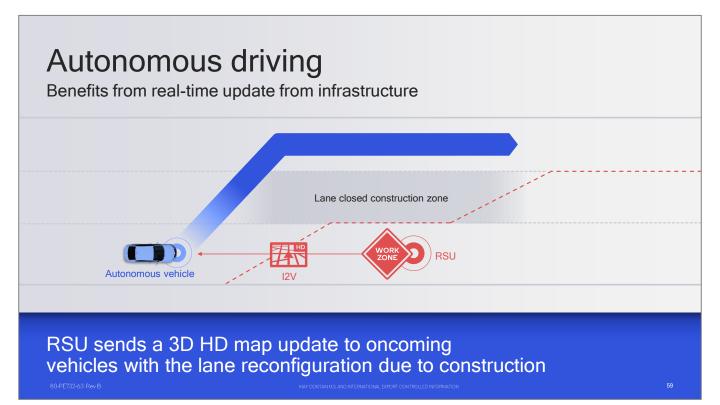
Lower latency Higher reliability

Application aware

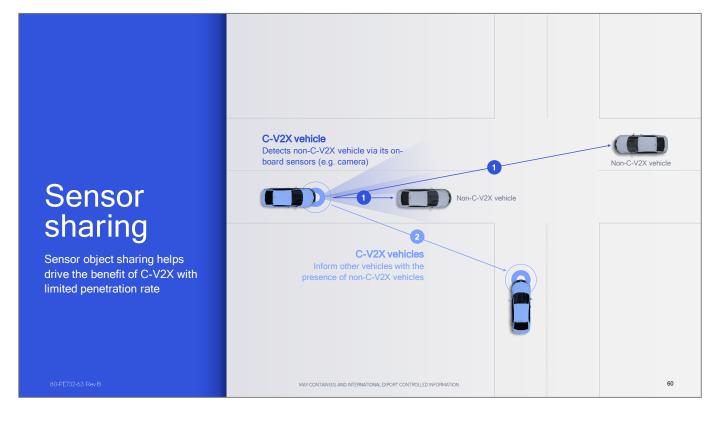
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Key Takeaways

- Direct communication mode (PC5) used for V2V, V2I, and V2P communication
- High reference signal density and intra-subframe control and data information characterize C-V2X (PC5) link design
- Transmission mode 4 (preferred mode) based PC5 communication relies on autonomous resource selection by each vehicle for transmission
- · Congestion control algorithm seeks to control channel access based on channel loading
- · C-V2X technology outperforms DSRC on link level as well as system level in all scenarios
- New 5G platform, which is backward compatible with existing Release 14 C-V2X technology, will augment/complement C-V2X with applications like sensor sharing, trajectory sharing, and ranging/positioning
 - No need to 'rip and replace' existing Release 14 C-V2X devices